

# EXTENSIONS AND RANK-2 VECTOR BUNDLES ON IRREDUCIBLE NODAL CURVES

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ABSTRACT. We generalize Bertram’s work on rank two vector bundles to an irreducible projective nodal curve  $C$ . We use the natural rational map  $\phi_L: \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C)) \rightarrow \overline{\mathcal{SU}_C(2, L)} \subseteq \overline{\mathcal{SU}_C(2, L)}$  defined by  $\phi_L([0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow L \rightarrow 0]) = E$  to study a compactification  $\overline{\mathcal{SU}_C(2, L)}$  of the moduli space  $\mathcal{SU}_C(2, L)$  of semi-stable vector bundles of rank 2 and determinant  $L$  on  $C$ . In particular, we resolve the indeterminacy of  $\phi_L$  in the case  $\deg L = 3, 4$  via a sequence of three blow-ups with smooth centers.

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## 1. INTRODUCTION

In [Ber92], Bertram used extensions of line bundles to study rank-2 vector bundles of fixed determinant on a smooth curve. We generalize his construction to an irreducible projective nodal curve  $C$ . The idea is to consider extensions of  $L$  by  $\mathcal{O}_C$ , where  $L$  is a generic line bundle on  $C$ , and consider the ‘forgetful’ map which sends an extension to the vector bundle of rank 2 in the middle, forgetting the extension maps. This gives a rational map from  $\mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C))$  to  $\overline{\mathcal{SU}_C(2, L)}$ , the moduli space of semi-stable vector bundles of rank 2 and determinant  $L$ . If the arithmetic genus of  $C$  is  $\geq 2$  and  $\deg L = 3$  or  $4$ , we resolve the indeterminacy of the map by a sequence of three blow-ups with smooth centers. A nice aspect of these blow-ups is that there exists at each stage a ‘universal bundle’ which induces the rational map in a natural way.

Let  $\overline{\mathcal{SU}_C(2, L)}$  be the natural compactification of  $\mathcal{SU}_C(2, L)$  via torsion-free sheaves introduced by Newstead and Seshadri (see [New78] and [Ses82]). Our main theorem is the following.

**Theorem 1.1.** *Let  $C$  be an irreducible projective nodal curve of arithmetic genus  $\geq 2$ , and let  $L$  be a generic line bundle on  $C$  of degree 3 or 4. Let  $\phi_L: \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C)) \rightarrow \mathcal{SU}_C(2, L) \subseteq \overline{\mathcal{SU}_C(2, L)}$  be the natural rational map defined by  $\phi_L([0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow L \rightarrow 0]) = E$ . There exist a sequence of three blow-ups with smooth centers*

$$\mathbb{P}_{L,3} \xrightarrow{\varepsilon_3} \mathbb{P}_{L,2} \xrightarrow{\varepsilon_2} \mathbb{P}_{L,1} \xrightarrow{\varepsilon_1} \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C)),$$

such that

$$\phi_L \circ \varepsilon_1 \circ \varepsilon_2 \circ \varepsilon_3: \mathbb{P}_{L,3} \longrightarrow \overline{\mathcal{SU}_C(2, L)}$$

extends to a morphism  $\phi_{L,3}$ .

An important fact is that the fibers of  $\phi_{L,3}$  are connected. As a corollary, we can give a new proof of the fact that, if  $C$  is an irreducible nodal curve of arithmetic genus 2, and  $\deg L$  is odd, then the normalization morphism  $\overline{\mathcal{SU}_C(2, L)}^\nu \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is one-to-one.

We also give the idea for a new proof of  $\overline{\mathcal{SU}_C(2, L)} \simeq \mathbb{P}^3$  for an irreducible nodal curve of arithmetic genus 2 when  $\deg L$  is even.

If the arithmetic genus of  $C$  is 1, using the morphism  $\phi_L$  with  $L$  of degree 1 or 2, we prove that, as in the smooth case,

$$\overline{\mathcal{SU}_C(2, L)} = \mathcal{SU}_C(2, L) \simeq \begin{cases} \{pt\} & \text{if } \deg L \text{ is odd} \\ \mathbb{P}^1 & \text{if } \deg L \text{ is even} \end{cases},$$

and there are no stable bundles of even degree.

In general, using the rational map  $\phi_{L,3}$  with  $\deg L \geq 3$ , we prove that the complement of  $\mathcal{SU}_C(2, L)$  in  $\overline{\mathcal{SU}_C(2, L)}$  has codimension  $\geq 3$  for every irreducible nodal curve of arithmetic genus  $\geq 2$ . It follows, using [Bho99] and [Bho04], that  $A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \simeq \mathbb{Z}$ . Moreover, if  $\deg L = 2g - 1$ , we find open subsets  $U \subseteq \mathbb{P}_{L,3}$  and  $V \subseteq \mathcal{SU}_C(2, L)$  such that  $\phi_{L,3}|_U: U \rightarrow V$  is an isomorphism, and  $\text{codim}(\overline{\mathcal{SU}_C(2, L)} \setminus V, \overline{\mathcal{SU}_C(2, L)}) \geq 2$ . As a corollary, we prove directly that

$$A_{3g-4}(\mathcal{SU}_C(2, L)) = A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \simeq \mathbb{Z}$$

if  $\deg L$  is odd.

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### Notation

Let  $J$  be the set of nodes of  $C$ . For a subset  $J'$  of  $J$ , we denote by  $\pi_{J'}: C_{J'} \rightarrow C$  the partial normalization of  $C$  along the nodes in  $J'$ . In particular, for  $J' = J$  we obtain the normalization  $\pi: N \rightarrow C$  of  $C$ . For every  $p \in J$ , let  $p_1, p_2$  be the two points which map to  $p$  under any partial normalization map  $\pi_{J'}$  with  $p \in J'$ .

If  $X$  is a projective variety, a sheaf on  $X \times C$  of the form  $\pi_X^* F \otimes \pi_C^* G$  (for some sheaves  $F$  on  $X$  and  $G$  on  $C$ ) shall be denoted by  $F \boxtimes G$ . If it is of the form  $\pi_X^* F$  or  $\pi_C^* G$ , we shall sometimes just denote it by  $F$  or  $G$ , if it is clear from the context that we are actually considering the sheaf on  $X \times C$ .

We shall assume throughout the paper, unless it is explicitly stated otherwise, that the arithmetic genus of  $C$  is  $g \geq 2$  (and we shall simply call it the genus of  $C$ ).

Whenever we do not explicitly define a homomorphism of extension spaces throughout this paper, a natural push-forward or pull-back of extensions is understood.

2. DESCRIPTION OF  $\phi_L$ 

Let us start with extending to our situation some of the basic results of the smooth case. Since  $\mathrm{Ext}_C^1(L, \mathcal{O}_C) \simeq H^1(C, L^{-1}) \simeq H^0(C, L \otimes \omega_C)^*$  (see [Har77, chapter III]), the linear system  $|L \otimes \omega_C|$  defines a rational map  $\varphi_{L \otimes \omega_C} : C \rightarrow |L \otimes \omega_C|^* \simeq \mathbb{P}_L$ , where we denote  $\mathbb{P}(\mathrm{Ext}_C^1(L, \mathcal{O}_C))$  by  $\mathbb{P}_L$  to simplify the notation. Let  $U_L \subseteq \mathbb{P}_L$  be the open locus of semi-stable extensions, i.e., the open subset where  $\phi_L$  is well-defined.

**Proposition 2.1.** (1) *If  $\deg L < 0$ , then  $U_L = \emptyset$ .*

(2) *If  $0 \leq \deg L \leq 2$ , then  $U_L = \mathbb{P}_L$ .*

(3) *If  $3 \leq \deg L \leq 4$ , then  $U_L = \mathbb{P}_L \setminus \varphi_{L \otimes \omega_C}(C)$ .*

*Proof.* The same is true for a smooth curve (see [Ber92]), and the proof in our case is similar, except for two technical details that we prove in Lemmas 2.2 and 2.3.  $\square$

**Lemma 2.2.** *Every torsion-free sheaf of rank 1 and degree 1 on  $C$  with a section is either isomorphic to  $\mathcal{O}_C(q)$  for some smooth point  $q \in C$  (if it is locally-free) or it is isomorphic to  $(\pi_p)_* \mathcal{O}_{C_p}$  for some node  $p$  (if it is not locally-free).*

*Proof.* Every torsion-free non-locally-free coherent sheaf  $F$  of rank 1 on  $C$  is of the form  $(\pi_{J'})_* \mathcal{F}$  for some line bundle  $\mathcal{F}$  on a partial normalization  $C_{J'}$  of  $C$  (see [Ses82]). If it has a section, then  $\mathcal{O}_C \subseteq F$  implies that  $\mathcal{O}_{C_{J'}} = \pi_{J'}^* \mathcal{O}_C \subseteq \mathcal{F}$ , and therefore,  $\deg \mathcal{F} \geq 0$ . Since  $F$  has degree 1, and it is not locally-free,  $J'$  must contain only one node  $p$ ,  $\mathcal{F}$  must have degree 0, and therefore be isomorphic to  $\mathcal{O}_{C_p}$ .  $\square$

**Lemma 2.3.** *If  $q$  is a smooth point of  $C$ , then*

$$\varphi_{L \otimes \omega_C}(q) = \mathbb{P}(\ker(\mathrm{Ext}_C^1(L, \mathcal{O}_C) \xrightarrow{\psi_q} \mathrm{Ext}_C^1(L, \mathcal{O}_C(q)))).$$

*If  $p$  is a node of  $C$ , then*

$$\varphi_{L \otimes \omega_C}(p) = \mathbb{P}(\ker(\mathrm{Ext}_C^1(L, \mathcal{O}_C) \xrightarrow{\psi_p} \mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}))).$$

*Proof.* If  $q$  is a smooth point, the proof is the same as in the smooth case. If  $p$  is a node, then  $\varphi_{L \otimes \omega_C}(p)$  is the hyperplane of  $H^0(C, L \otimes \omega_C)$  defined by the sections vanishing at  $p$ . Since the sheaf generated by the regular functions vanishing at  $p$  is the sheaf  $(\pi_p)_* (\mathcal{O}_{C_p}(-p_1 - p_2))$  and its dual is  $(\pi_p)_* \mathcal{O}_{C_p}$ ,  $\varphi_{L \otimes \omega_C}(p)$  corresponds to the kernel of the linear homomorphism  $H^1(C, L^{-1}) \rightarrow H^1(C, L^{-1} \otimes (\pi_p)_* \mathcal{O}_{C_p})$ , where we identified  $H^0(C, L \otimes \omega_C)^*$  with  $H^1(C, L^{-1})$ . If  $G$  is any coherent sheaf, we can identify  $\mathrm{Ext}_C^1(L, G)$  with  $H^1(C, L^{-1} \otimes G)$ , and the linear homomorphism above becomes  $\psi_p$  as claimed.  $\square$

From now on, all through Section 10, we shall restrict ourselves to the case when  $\deg L$  is either 3 or 4.

**Lemma 2.4.** *If  $\deg L \geq 3$ , then  $\varphi_{L \otimes \omega_C}$  is an embedding.*

*Remark.* Since  $\varphi_{L \otimes \omega_C}$  is an isomorphism onto its image, we shall identify  $C$  with  $\varphi_{L \otimes \omega_C}(C) \subseteq \mathbb{P}_L$ .

*Proof.* We need to prove that, for every  $q, q' \in C$  not both equal to a node  $p$ ,  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_q \otimes \mathcal{I}_{q'}) = H^1(C, L \otimes \omega_C) = 0$ , where  $\mathcal{I}_q$  [resp.  $\mathcal{I}_{q'}$ ] is the ideal sheaf of the point  $q$  [resp.  $q'$ ], and that  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_p^2) = H^1(C, L \otimes \omega_C) = 0$  for every node  $p$  (see [Bar87]).

Case I:  $q, q'$  smooth points. Then, by Serre duality,  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_q \otimes \mathcal{I}_{q'}) \simeq H^0(C, L^{-1}(q+q'))^*$ , which is zero because  $\deg(L^{-1}(q+q')) < 0$ .

Case II:  $q$  smooth point and  $q' = p$  node. Since  $\pi_p^* \omega_C \simeq \omega_{C_p}(p_1 + p_2)$  (see [Bar87]), using the projection formula we obtain  $\omega_C \otimes \mathcal{I}_p \simeq (\pi_p)_* \omega_{C_p}$ , and  $L \otimes \omega_C \otimes \mathcal{I}_q \otimes \mathcal{I}_p \simeq L(-q) \otimes (\pi_p)_* \omega_{C_p} \simeq (\pi_p)_* (\pi_p^*(L(-q)) \otimes \omega_{C_p})$ . Therefore,  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_q \otimes \mathcal{I}_{q'}) \simeq H^1(C_p, \pi_p^*(L(-q)) \otimes \omega_{C_p}) \simeq H^0(C_p, \pi_p^*(L^{-1}(q)))^*$ , which is zero because  $\deg(\pi_p^*(L^{-1}(q))) < 0$ .

Case III:  $q = p, q' = p'$  distinct nodes. Since  $\pi_{\{p,p'\}}^* \omega_C \simeq \omega_{C_{\{p,p'\}}}(p_1 + p_2 + p'_1 + p'_2)$  (see [Bar87]), we obtain  $\omega_C \otimes \mathcal{I}_p \otimes \mathcal{I}_{p'} \simeq (\pi_{\{p,p'\}})_* \omega_{C_{\{p,p'\}}}$ , and  $L \otimes \omega_C \otimes \mathcal{I}_p \otimes \mathcal{I}_{p'} \simeq L \otimes (\pi_{\{p,p'\}})_* \omega_{C_{\{p,p'\}}} \simeq (\pi_{\{p,p'\}})_* (\pi_{\{p,p'\}}^* L \otimes \omega_{C_{\{p,p'\}}})$ . Therefore,  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_q \otimes \mathcal{I}_{q'}) \simeq H^1(C_{\{p,p'\}}, \pi_{\{p,p'\}}^* L \otimes \omega_{C_{\{p,p'\}}}) \simeq H^0(C_{\{p,p'\}}, \pi_{\{p,p'\}}^* L^{-1})^*$ , which is zero because  $\deg(\pi_{\{p,p'\}}^* L^{-1}) < 0$ .

Case IV:  $q = q' = p$  node. Then  $\omega_C \otimes \mathcal{I}_p^2 \simeq (\pi_p)_* (\omega_{C_p}(-p_1 - p_2))$  and  $L \otimes \omega_C \otimes \mathcal{I}_p^2 \simeq (\pi_p)_* (\pi_p^* L \otimes \omega_{C_p}(-p_1 - p_2))$ . Therefore,  $H^1(C, L \otimes \omega_C \otimes \mathcal{I}_p^2) \simeq H^1(C_p, \pi_p^* L \otimes \omega_{C_p}(-p_1 - p_2)) \simeq H^0(C_p, \pi_p^* L^{-1}(p_1 + p_2))^*$ , which is zero because  $\deg(\pi_p^* L^{-1}(p_1 + p_2)) < 0$ .  $\square$

**Lemma 2.5.** *The projective tangent plane to  $C$  at a node  $p$  is*

$$T_p C = \mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \xrightarrow{\psi_{T_p C}} \text{Ext}_C^1(L, (\pi_p)_*(\mathcal{O}_{C_p}(p_1 + p_2)))))$$

*Proof.* It is easy to see that all the kernels involved in this proof have the right dimension. The secant line between the node  $p$  and a smooth point  $q$  is given by

$$\mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \xrightarrow{\psi_{T_p C}} \text{Ext}_C^1(L, (\pi_p)_*(\mathcal{O}_{C_p}(q)))))$$

this being a 1-dimensional linear subspace of  $\mathbb{P}_L$  which contains both  $p$  and  $q$ . If we take the limit as  $q \mapsto p$  along the branch corresponding to  $p_i$  ( $i = 1, 2$ ), we see that the projective tangent line at  $p$  to that branch is  $X_{p_i} := \mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, (\pi_p)_*(\mathcal{O}_{C_p}(p_i))))$  ( $i = 1, 2$ ). Since  $\mathbb{P}(\ker(\psi_{T_p C}))$  is a 2-dimensional linear subspace of  $\mathbb{P}_L$  which contains both  $X_{p_1}$  and  $X_{p_2}$ , it is the projective tangent plane  $T_p C$  to  $C$  at  $p$ .  $\square$

We end this section with an important way to describe the rational map  $\phi_L$ .

**Proposition 2.6.** *There exists a locally-free sheaf  $\mathcal{E}_L$  on  $\mathbb{P}_L \times C$  such that  $\mathcal{E}_L|_{\{x\} \times C} \simeq \phi_L(x)$  for every  $x \in \mathbb{P}_L \setminus C$ . Moreover,  $\mathcal{E}_L$  is an extension in  $\text{Ext}_{\mathbb{P}_L \times C}^1(L, \mathcal{O}_{\mathbb{P}_L}(1))$ , and for every  $a \neq 0$  in  $\text{Ext}_C^1(L, \mathcal{O}_C)$ , if we identify  $\pi_{\mathbb{P}_L}^* \mathcal{O}_{\mathbb{P}_L}(1)|_{\{[a]\} \times C}$  with  $\mathcal{O}_C$  using  $a$ ,  $\mathcal{E}_L$  restricts on  $\{[a]\} \times C$  to the extension  $a$  itself.*

*Proof.* Let  $\mathcal{E}_L$  be the extension corresponding to the identity homomorphism under the natural isomorphism  $\text{Ext}_{\mathbb{P}_L \times C}^1(L, \mathcal{O}_{\mathbb{P}_L}(1)) \simeq \text{Hom}(\text{Ext}_C^1(L, \mathcal{O}_C), \text{Ext}_C^1(L, \mathcal{O}_C))$ . Then, if  $a \neq 0$  is an extension  $0 \rightarrow \mathcal{O}_C \rightarrow E_a \rightarrow L \rightarrow 0$ ,  $\mathcal{E}_L|_{\{[a]\} \times C}$  is  $E_a$  (see [Arc04]).  $\square$

### 3. THE FIRST BLOW-UP

Since the indeterminacy locus of the rational map  $\phi_L: \mathbb{P}_L \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is the curve  $C \subseteq \mathbb{P}_L$ , to resolve the indeterminacy via a sequence of blow-ups with smooth centers, we need to begin the process with the blow-up of  $\mathbb{P}_L$  at the set of nodes  $J \subseteq C$ . By Lemma 2.3, a node  $p$  is  $\mathbb{P}(\ker(\psi_p))$ , where  $\psi_p$  is the natural linear homomorphism  $\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})$ . Therefore, the exceptional divisor  $E_1$  of  $\mathbb{P}_{L,1} := \mathcal{BL}_J \mathbb{P}_L \xrightarrow{\varepsilon_1} \mathbb{P}_L$  is canonically isomorphic to  $\coprod_{p \in J} \mathbb{P}(\text{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}))$ .

**Theorem 3.1.** (a) *The composition  $\phi_L \circ \varepsilon_1: \mathbb{P}_{L,1} \rightarrow \overline{\mathcal{SU}_C(2, L)}$  extends to a rational map  $\phi_{L,1}$  defined as follows: For every node  $p$ , a point  $x \in E_1|_p$  corresponds to an extension  $E'_x$  in*

$\mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})$ . Its image  $\phi_{L,1}(x)$  is the torsion-free sheaf  $E_x$  which is the image of  $E'_x$  under the natural homomorphism

$$\mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}) \xrightarrow{\psi_{L_p}} \mathrm{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}).$$

(b) The indeterminacy locus of the rational map  $\phi_{L,1}: \mathbb{P}_{L,1} \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is the union of the strict transform  $\tilde{C}_1$  of  $C$  and the lines  $L_p := \mathbb{P}(\ker(\psi_{L_p})) \subseteq E_1|_p$ .

The strict transform  $\tilde{C}_1$  of  $C$  is isomorphic to  $N$  and, for each node  $p$ , it intersects  $E_1|_p$  at the two points  $p_1, p_2$  lying on  $p$ . The following lemma describes the lines  $L_p$ .

**Lemma 3.2.** *The points on  $L_p$  correspond to the directions tangent to  $p$  in  $T_p C$ , the projective tangent plane to  $C$  at  $p$ . In particular,  $L_p$  is the line through  $p_1$  and  $p_2$  in  $E_1|_p$ .*

*Proof.* It suffices to show that  $L_p$  contains  $p_1$  and  $p_2$ . It is easy to see that, for  $i = 1, 2$ ,  $p_i = \mathbb{P}(\ker(\psi_i)) \subseteq \mathbb{P}(\mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})) \simeq E_1|_p$ , where  $\psi_i$  is the natural map  $\mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}) \rightarrow \mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}(p_i))$ . To prove that  $p_1, p_2 \in L_p$ , we need to show that  $\ker \psi_i \subseteq \ker \psi_{L_p}$  for  $i = 1, 2$ . A non-trivial extension  $E$  in  $\mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})$  is in the kernel of  $\psi_i$  if and only if there exists a surjective map  $E \rightarrow (\pi_p)_* \mathcal{O}_{C_p}(p_i)$ . The kernel of this map is  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$ , and  $E$  is therefore also in the kernel of  $\psi_{L_p}$ .  $\square$

It can be shown that, for every node  $p$ , the image of the natural linear homomorphisms  $\psi_{L_p}$  is isomorphic to the space of extensions  $\mathrm{Ext}_{C_p}^1(\pi_p^* L(-p_1 - p_2), \mathcal{O}_{C_p})$  via the homomorphism  $(\pi_p)_*$ . In particular, no torsion-free sheaf in the image of  $\phi_{L,1}|_{E_1}$  is locally-free, being a push-forward from a partial normalization of  $C$ .

**Corollary 3.3.** *The image  $\phi_{L,1}(\mathbb{P}_{L,1} \setminus (\coprod_{p \in J} L_p \cup \tilde{C}_1))$  of  $\phi_{L,1}$  in  $\overline{\mathcal{SU}_C(2, L)}$  is given by<sup>1</sup>*

$$\phi_L(\mathbb{P}_L \setminus C) \cup \{E \in \overline{\mathcal{SU}_C(2, L)} \mid E = (\pi_p)_* \mathcal{E} \text{ for some } p \in J \text{ and } \mathcal{E} \in \mathrm{Ext}_{C_p}^1(\pi_p^* L(-p_1 - p_2), \mathcal{O}_{C_p})\}.$$

Before we prove Theorem 3.1, we need the following lemma.

**Lemma 3.4.** *For every node  $p$ , all non-trivial extensions*

$$0 \longrightarrow (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow E \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0$$

in  $\mathrm{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  are semi-stable.

*Proof.* Assume that  $E$  is not semi-stable. Then there exists a torsion-free quotient  $F$  of  $E$  of rank 1 and degree  $\leq 1$ . Consider the composite map  $(\pi_p)_* \mathcal{O}_{C_p} \hookrightarrow E \rightarrow F$ . If it is the zero-map, then the morphism  $E \rightarrow F$  factors through  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$ , and this is not possible since  $\deg(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*) > 1 \geq \deg F$ . If it is not the zero-map, then it is an inclusion because  $(\pi_p)_* \mathcal{O}_{C_p}$  is torsion-free, and this implies that  $\deg F = 1$  and  $F \simeq (\pi_p)_* \mathcal{O}_{C_p}$ . But this can happen only if the extension we started with is trivial.  $\square$

We saw in Proposition 2.6 that there exists a locally-free sheaf  $\mathcal{E}_L$  on  $\mathbb{P}_L \times C$  such that  $\mathcal{E}_L|_{\{x\} \times C} \simeq \phi_L(x)$  for every  $x \in \mathbb{P}_L \setminus C$ . To prove Theorem 3.1, we introduce a torsion-free sheaf  $\mathcal{E}_{L,1}$  on  $\mathbb{P}_{L,1} \times C$  which induces the rational map  $\phi_{L,1}$ . It is defined by

$$\mathcal{E}_{L,1} := \ker \left( (\varepsilon_1, 1)^* \mathcal{E}_L \longrightarrow \bigoplus_{p \in J} \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \right).$$

<sup>1</sup>Note that, since  $((\pi_p)_* \mathcal{O}_{C_p})^* \simeq (\pi_p)_* \mathcal{O}_{C_p}(-p_1 - p_2)$ , the push-forward of  $\pi_p^* L(-p_1 - p_2)$  from  $C_p$  to  $C$  is isomorphic to  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by the projection formula.

Note that the map is surjective because, for every node  $p$ ,  $\mathcal{E}_L|_{\{p\} \times C}$  is isomorphic to  $\phi_L(p)$ , which surjects onto  $(\pi_p)_*\mathcal{O}_{C_p}$  by Lemma 2.3. Moreover, the sheaf  $\bigoplus_{p \in J} \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$  is supported on  $E_1 \times C$ , and so  $\mathcal{E}_{L,1}$  defines the same map as  $\mathcal{E}_L$  on  $\mathbb{P}_{L,1} \setminus E_1 \simeq \mathbb{P}_L \setminus J$ , i.e.,  $\mathcal{E}_{L,1}|_{\{x\} \times C} \simeq \mathcal{E}_L|_{\{\varepsilon_1(x)\} \times C} \simeq \phi_{L,1}(x) = \phi_L(\varepsilon_1(x))$  for every  $x \in \mathbb{P}_{L,1} \setminus E_1$ . If  $x \in E_1|_p$ , we have an exact sequence  $\mathcal{E}_{L,1}|_{\{x\} \times C} \rightarrow (\varepsilon_1, 1)^*\mathcal{E}_L|_{\{x\} \times C} \rightarrow (\pi_p)_*\mathcal{O}_{C_p} \rightarrow 0$ , which completes to an exact sequence on  $C$

$$0 \longrightarrow T \longrightarrow \mathcal{E}_{L,1}|_{\{x\} \times C} \longrightarrow \mathcal{E}_L|_{\{p\} \times C} \longrightarrow (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow 0,$$

where  $T$  is the torsion sheaf  $\text{Tor}_1^{\mathbb{P}_{L,1} \times C}(\mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}, \mathcal{O}_{\{x\} \times C})$ , that we shall see to be isomorphic to  $(\pi_p)_*\mathcal{O}_{C_p}$ . Since the kernel of  $\mathcal{E}_L|_{\{p\} \times C} \rightarrow (\pi_p)_*\mathcal{O}_{C_p}$  is  $L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*$ ,  $\mathcal{E}_{L,1}|_{\{x\} \times C}$  is an extension of  $L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*$  by  $(\pi_p)_*\mathcal{O}_{C_p}$ . Therefore, by Lemma 3.4,  $\mathcal{E}_{L,1}|_{\{x\} \times C}$  is semi-stable if and only if it does not split as such an extension. To prove Theorem 3.1, we need to show that  $\mathcal{E}_{L,1}|_{E_1 \times C}$  induces the rational map  $\phi_{L,1}|_{E_1}$ , i.e., that  $\mathcal{E}_{L,1}|_{\{x\} \times C} \simeq E_x$  for every  $x \in E_1$ . This will be proved in Proposition 4.2.

#### 4. DESCRIPTION OF $\mathcal{E}_{L,1}$

The main goal of this section is to prove that  $\mathcal{E}_{L,1}$  induces the rational map  $\phi_{L,1}$ , and we start by analyzing  $\mathcal{E}_{L,1}$ . Since  $\mathcal{E}_L$  fits into a short exact sequence  $0 \rightarrow \mathcal{O}_{\mathbb{P}_L}(1) \rightarrow \mathcal{E}_L \rightarrow L \rightarrow 0$  on  $\mathbb{P}_L \times C$ , and the image of the composite map  $\varepsilon_1^*\mathcal{O}_{\mathbb{P}_L}(1) \hookrightarrow (\varepsilon_1, 1)^*\mathcal{E}_L \rightarrow \bigoplus_{p \in J} \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$  is  $\mathcal{O}_{E_1 \times C}$ , we obtain the following commutative diagram on  $\mathbb{P}_{L,1} \times C$

$$(1) \quad \begin{array}{ccccccc} & 0 & & 0 & & 0 & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \longrightarrow & \mathcal{A}_1 & \longrightarrow & \mathcal{E}_{L,1} & \longrightarrow & \mathcal{B}_1 & \longrightarrow 0 \\ & \downarrow & & \downarrow & & \downarrow & & \\ (1) \quad 0 & \longrightarrow & \varepsilon_1^*\mathcal{O}_{\mathbb{P}_L}(1) & \longrightarrow & (\varepsilon_1, 1)^*\mathcal{E}_L & \longrightarrow & L & \longrightarrow 0, \\ & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mathcal{O}_{E_1 \times C} & \longrightarrow & \bigoplus_{p \in J} \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & \longrightarrow & \bigoplus_{p \in J} \mathcal{O}_{E_1 \times \{p\}} & \longrightarrow 0 \\ & \downarrow & & \downarrow & & \downarrow & & \\ & 0 & & 0 & & 0 & & \end{array}$$

where  $\mathcal{A}_1$ ,  $\mathcal{E}_{L,1}$ , and  $\mathcal{B}_1$  are defined by the exactness of the vertical exact sequences. In particular,  $\mathcal{A}_1 \simeq \pi_{\mathbb{P}_{L,1}}^*(\varepsilon_1^*\mathcal{O}_{\mathbb{P}_L}(1) \otimes \mathcal{O}_{\mathbb{P}_{L,1}}(-E_1))$ .

This shows that  $\mathcal{E}_{L,1}$  fits in a short exact sequence  $0 \rightarrow \varepsilon_1^*\mathcal{O}_{\mathbb{P}_L}(1) \otimes \mathcal{O}_{\mathbb{P}_{L,1}}(-E_1) \rightarrow \mathcal{E}_{L,1} \rightarrow \mathcal{B}_1 \rightarrow 0$  on  $\mathbb{P}_{L,1} \times C$  which restricts to a short exact sequence  $0 \rightarrow \mathcal{O}_{E_1}(1) \rightarrow \mathcal{E}_{L,1}|_{E_1 \times C} \rightarrow \mathcal{B}_1|_{E_1 \times C} \rightarrow 0$  on  $E_1 \times C$ . The restriction stays exact because  $\mathcal{O}_{E_1}(1)$  is locally-free, and the map  $\mathcal{O}_{E_1}(1) \rightarrow \mathcal{E}_{L,1}|_{E_1 \times C}$  is generically injective. Therefore, the image of any  $\text{Tor}$  sheaf which would appear is 0.

*Remark.* We shall use this fact several times when restricting diagrams or short exact sequences. When no comments are made about a sequence staying exact after a restriction, the reason shall be the same as here, i.e., the first sheaf is locally-free, and the first map is generically injective.

**Lemma 4.1.** *For each node  $p \in J$ , there exists a short exact sequence*

$$0 \longrightarrow \mathcal{O}_{E_1|_p}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,1}|_{E_1|_p \times C} \longrightarrow L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^* \longrightarrow 0$$

on  $E_1|_p \times C$ .

*Proof.* If we restrict the diagram (1) to  $E_1|_p \times C$ , we obtain

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow \mathcal{O}_{E_1|_p}(1) \longrightarrow \mathcal{O}_{E_1|_p}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & \longrightarrow \mathcal{O}_{E_1|_p \times \{p\}}(1) \longrightarrow 0 & & & & & \\
 \simeq \downarrow & & \downarrow & & \downarrow & & \\
 0 \longrightarrow \mathcal{O}_{E_1|_p}(1) \longrightarrow \mathcal{E}_{L,1}|_{E_1|_p \times C} & \longrightarrow \mathcal{B}_1|_{E_1|_p \times C} \longrightarrow 0 & & & & & \\
 0 \downarrow & & \downarrow & & \downarrow & & \\
 0 \longrightarrow \mathcal{O}_{E_1|_p \times C} \longrightarrow \pi_C^* \mathcal{E}_L|_{\{p\} \times C} & \longrightarrow L \longrightarrow 0 & & & & & \\
 \simeq \downarrow & & \downarrow & & \downarrow & & \\
 0 \longrightarrow \mathcal{O}_{E_1|_p \times C} \longrightarrow \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & \longrightarrow \mathcal{O}_{E_1|_p \times \{p\}} \longrightarrow 0 & & & & & \\
 \downarrow & & \downarrow & & \downarrow & & \\
 0 & & 0 & & 0 & & 
 \end{array}.$$

It follows from the commutativity of the diagram that

$$\ker(\pi_C^* \mathcal{E}_L|_{\{p\} \times C} \longrightarrow \pi_C^*((\pi_p)_*\mathcal{O}_{C_p})) \simeq \ker(\pi_C^* L \longrightarrow \mathcal{O}_{E_1|_p \times \{p\}}) \simeq \pi_C^*(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*),$$

which implies our statement.  $\square$

**Proposition 4.2.** *The sheaf  $\mathcal{E}_{L,1}$  on  $\mathbb{P}_{L,1} \times C$  induces the rational map  $\phi_{L,1}$ .*

*Proof.* Since we already saw that  $\mathcal{E}_{L,1}$  defines the rational map  $\phi_{L,1}$  on  $\mathbb{P}_{L,1} \setminus E_1$ , it suffices to show that, for every node  $p$ ,  $\mathcal{E}_{L,1}|_{E_1|_p \times C}$  induces the rational map  $\phi_{L,1}|_{E_1|_p}$ . Fix a node  $p \in J$ .

If we pull-back the extension  $\mathcal{E}_L$  to  $\mathbb{P}_{L,1} \times C$ , and then push it forward via the inclusion  $\pi_{\mathbb{P}_{L,1}}^*(\varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1)) \hookrightarrow \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$

$$\begin{array}{ccccccc}
 0 \longrightarrow & \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) & \longrightarrow & (\varepsilon_1, 1)^* \mathcal{E}_L & \longrightarrow L & \longrightarrow 0 & \\
 (2) & \downarrow & & \downarrow & & \parallel & \\
 0 \longrightarrow \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}'_0 & \longrightarrow L & \longrightarrow 0 & & 
 \end{array},$$

we obtain an extension  $\mathcal{E}'_0$  which splits when restricted to  $E_1|_p \times C$ . Indeed,  $\varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1)|_{E_1|_p} \simeq \mathcal{O}_{E_1|_p}$ , and since  $\text{Ext}_{E_1|_p \times C}^1(L, (\pi_p)_*\mathcal{O}_{C_p}) \simeq H^0(E_1|_p, \mathcal{O}_{E_1|_p}) \otimes \text{Ext}_C^1(L, (\pi_p)_*\mathcal{O}_{C_p})$  (see [Arc04]), we see that  $\mathcal{E}'_0|_{E_1|_p \times C}$  splits as long as  $\mathcal{E}'_0|_{\{x\} \times C}$  splits for some  $x \in E_1|_p$ . Restricting the diagram (2) above to  $\{x\} \times C$  for any  $x \in E_1|_p$ , we see that  $\mathcal{E}'_0|_{\{x\} \times C}$  is the trivial extension  $\psi_p(\mathcal{E}_L|_{\{p\} \times C})$ .

Therefore, there exists a surjective map  $\mathcal{E}'_0 \rightarrow \mathcal{O}_{E_1|_p} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$ : Define  $\mathcal{E}'_1$  to be its kernel.

There exists a commutative diagram on  $\mathbb{P}_{L,1} \times C$ :

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
0 & \longrightarrow & \mathcal{A}'_1 & \longrightarrow & \mathcal{E}'_1 & \longrightarrow & L \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \parallel \\
0 & \longrightarrow & \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}'_0 & \longrightarrow & L \longrightarrow 0, \\
& & \downarrow & & \downarrow & & \\
& & \mathcal{O}_{E_1|p} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & = & \mathcal{O}_{E_1|p} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & & \\
& & \downarrow & & \downarrow & & \\
& & 0 & & 0 & & 
\end{array}$$

with  $\mathcal{A}'_1 \simeq (\varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \otimes \mathcal{O}_{\mathbb{P}_{L,1}}(-E_1|_p)) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$ . Moreover, if we restrict  $i_1: \mathcal{E}_{L,1} \hookrightarrow (\varepsilon_1, 1)^* \mathcal{E}_L$  and  $i'_1: \mathcal{E}'_1 \hookrightarrow \mathcal{E}'_0$  to  $E_1|_p \times C$ , we obtain the following commutative diagram, where the first row is the exact sequence described in Lemma 4.1.

$$\begin{array}{ccccccc}
0 & \longrightarrow & \mathcal{O}_{E_1|p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}_{L,1}|_{E_1|p \times C} & \xrightarrow{i_1|_{E_1|p \times C}} & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0 \\
& & \parallel & & \downarrow & & \downarrow \\
0 & \longrightarrow & \mathcal{O}_{E_1|p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}'_1|_{E_1|p \times C} & \xrightarrow{i'_1|_{E_1|p \times C}} & L \longrightarrow 0
\end{array}.$$

This shows that  $\mathcal{E}_{L,1}|_{E_1|p \times C}$  is the pull-back of  $\mathcal{E}'_1|_{E_1|p \times C}$  via the inclusion  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p}) \hookrightarrow L$  pulled-back from  $C$  to  $E_1|_p \times C$ .

This is a summary of the steps we took in the construction of  $\mathcal{E}'_1|_{E_1|p \times C}$ :

$$\begin{array}{ccc}
\mathcal{E}_L & \in & \mathrm{Ext}_{\mathbb{P}_L \times C}^1(L, \mathcal{O}_{\mathbb{P}_L}(1)) \\
& & \downarrow \\
(\varepsilon_1, 1)^* \mathcal{E}_L & \in & \mathrm{Ext}_{\mathbb{P}_{L,1} \times C}^1(L, \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1)) \\
& & \downarrow \\
\mathcal{E}'_0 & \in & \mathrm{Ext}_{\mathbb{P}_{L,1} \times C}^1(L, \varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}) \\
& & \uparrow \\
\mathcal{E}'_1 & \in & \mathrm{Ext}_{\mathbb{P}_{L,1} \times C}^1(L, (\varepsilon_1^* \mathcal{O}_{\mathbb{P}_L}(1) \otimes \mathcal{O}_{\mathbb{P}_{L,1}}(-E_1|_p)) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}) \\
& & \downarrow \\
\mathcal{E}'_1|_{E_1|p \times C} & \in & \mathrm{Ext}_{E_1|p \times C}^1(L, \mathcal{O}_{E_1|p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p})
\end{array}$$

Using the natural isomorphisms  $\mathrm{Ext}_{Y \times C}^1(L, F \boxtimes G) \simeq H^0(Y, F) \otimes \mathrm{Ext}_C^1(L, G)$  (see [Arc04]), we can understand what extension  $\mathcal{E}'_1|_{E_1|p \times C}$  is by tracking the corresponding elements in these spaces. Let  $v_0, \dots, v_n$  be a basis of  $\mathrm{Ext}_C^1(L, \mathcal{O}_C)$ , with  $\mathrm{Span}\{v_0\} = \langle p \rangle$ , and let  $v_0^*, \dots, v_n^*$  be the corresponding dual basis in  $\mathrm{Ext}_C^1(L, \mathcal{O}_C)^* \simeq H^0(\mathbb{P}_L, \mathcal{O}_{\mathbb{P}_L}(1))$ . Then  $\mathcal{E}_L$  corresponds to the element  $\sum_{i=0}^n v_i^* \otimes v_i \in H^0(\mathbb{P}_L, \mathcal{O}_{\mathbb{P}_L}(1)) \otimes \mathrm{Ext}_C^1(L, \mathcal{O}_C)$ , and  $\mathcal{E}'_1|_{E_1|p \times C}$  corresponds to the element  $\sum_{i=1}^n \psi_p(v_i)^* \otimes \psi_p(v_i) \in H^0(E_1|_p, \mathcal{O}_{E_1|_p}(1)) \otimes \mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})$ . Therefore, since  $\mathcal{E}_{L,1}|_{E_1|p \times C}$  is the pull-back of  $\mathcal{E}'_1|_{E_1|p \times C}$  via  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \hookrightarrow L$ ,  $\mathcal{E}_{L,1}|_{E_1|p \times C}$  corresponds to  $\psi_{L_p}$  itself. This proves that, for any  $a \in \mathrm{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p})$ ,  $a \neq 0$ ,  $\mathcal{E}_{L,1}|_{\{[a]\} \times C}$  is  $\psi_{L_p}(a)$  as extensions of  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by  $(\pi_p)_* \mathcal{O}_{C_p}$ .  $\square$

## 5. THE SECOND BLOW-UP

We now blow-up  $\mathbb{P}_{L,1}$  along the lines  $L_p \subseteq E_1|_p$  ( $p \in J$ ). Let

$$\mathbb{P}_{L,2} := \mathcal{BL}_{\coprod_{p \in J} L_p} \mathbb{P}_{L,1} \xrightarrow{\varepsilon_2} \mathbb{P}_{L,1} \xrightarrow{\varepsilon_1} \mathbb{P}_L,$$

and let  $E_2 \subseteq \mathbb{P}_{L,2}$  be the exceptional divisor, which is the disjoint union of projective bundles  $E_{2,p} \rightarrow L_p$  ( $p \in J$ ).

**Theorem 5.1.** (a) *The composition  $\phi_{L,1} \circ \varepsilon_2: \mathbb{P}_{L,2} \rightarrow \overline{\mathcal{SU}_C(2, L)}$  extends to a rational map  $\phi_{L,2}$  with the following property. For each  $l \in L_p$ , the rational map  $\phi_{L,2}|_{E_2|_l}: E_2|_l \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is the projectivization of a linear homomorphism  $\mathcal{N}_{L_p/\mathbb{P}_{L,1}}|_l \rightarrow H'_p$ , where  $H'_p$  is the closure of the locus of vector bundles of determinant  $L$  in  $\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ . This linear homomorphism is an isomorphism if  $l \neq p_1, p_2$ , and it maps  $\mathcal{N}_{L_p/\mathbb{P}_{L,1}}|_{p_i}$  ( $i = 1, 2$ ) surjectively onto the hyperplane  $\text{Im} \psi_{L_p} \subseteq H'_p$ .*

(b) *The indeterminacy locus of  $\phi_{L,2}: \mathbb{P}_{L,2} \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is the strict transform  $\widetilde{C}_2$  of  $\widetilde{C}_1$ .*

**Corollary 5.2.** *The image  $\phi_{L,2}(\mathbb{P}_{L,2} \setminus \widetilde{C}_2)$  of  $\phi_{L,2}$  in  $\overline{\mathcal{SU}_C(2, L)}$  is given by*

$$\phi_L(\mathbb{P}_L \setminus C) \cup \bigcup_{p \in J} \mathbb{P}(H'_p).$$

*Remark.* Here  $\mathbb{P}(H'_p)$  actually stands for its image in  $\overline{\mathcal{SU}_C(2, L)}$  via the natural ‘forgetful’ map, which is a morphism by Lemma 3.4. We shall prove that this morphism is injective if  $g > \deg L$ .

Note that the strict transform  $\widetilde{C}_2$  of  $\widetilde{C}_1$  is isomorphic to  $\widetilde{C}_1$  and  $N$ , and, for each node  $p$ , it intersects  $E_{2,p}$  at two points  $\widetilde{p}_1$  and  $\widetilde{p}_2$  lying over  $p_1$  and  $p_2$ , respectively.

The first step in the proof of Theorem 5.1 is the analysis of the exceptional divisor  $E_2$ . For each node  $p$ ,  $E_{2,p}$  is canonically isomorphic to the projective bundle  $\mathbb{P}(\mathcal{N}_{L_p/\mathbb{P}_{L,1}})$  over  $L_p$ . Since  $\mathcal{N}_{L_p/\mathbb{P}_{L,1}}$  is the normal bundle to  $L_p$  in  $\mathbb{P}_{L,1}$ , it contains the normal bundle to  $L_p$  in  $E_1$ , and we obtain short exact sequences  $0 \rightarrow \mathcal{N}_{L_p/E_1} \rightarrow \mathcal{N}_{L_p/\mathbb{P}_{L,1}} \rightarrow \mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p} \rightarrow 0$  of vector bundles on  $L_p$ .

**Lemma 5.3.** *For each node  $p$ , the sequence  $0 \rightarrow \mathcal{N}_{L_p/E_1} \rightarrow \mathcal{N}_{L_p/\mathbb{P}_{L,1}} \rightarrow \mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p} \rightarrow 0$  splits. If  $N = \dim \mathbb{P}_L$ , then  $\mathcal{N}_{L_p/E_1} \simeq \mathcal{O}_{L_p}(1)^{\oplus N-2}$ , and  $\mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p} \simeq \mathcal{O}_{L_p}(-1)$ . Moreover,  $\mathbb{P}(\mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p})$  maps isomorphically to  $\widetilde{T_p C} \cap E_2$  via  $\varepsilon_2 \circ \varepsilon_1$ , where  $\widetilde{T_p C}$  is the strict transform of  $T_p C$  in  $\mathbb{P}_{L,2}$ .*

We shall denote  $\mathbb{P}(\mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p})$  by  $L_{2,p}$ . It is isomorphic to  $L_p$  via  $\varepsilon_2|_{L_{2,p}}$ , and it corresponds to a section of  $E_{2,p} \rightarrow L_p$ .

*Proof.* The short exact sequence  $0 \rightarrow \mathcal{I}_{L_p}/\mathcal{I}_{L_p}^2 \rightarrow \Omega_{E_1}|_{L_p} \rightarrow \Omega_{L_p} \rightarrow 0$ , together with the standard short exact sequence for  $\Omega_{\mathbb{P}^n}$  (see [Har77, II.8.13]), proves that  $\mathcal{N}_{L_p/E_1} \simeq \mathcal{O}_{L_p}(1)^{\oplus N-2}$ . It is a standard fact about blow-ups that  $\mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p} \simeq \mathcal{O}_{L_p}(-1)$ . Finally, the short exact sequence  $0 \rightarrow \mathcal{N}_{L_p/E_1} \rightarrow \mathcal{N}_{L_p/\mathbb{P}_{L,1}} \rightarrow \mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_{L_p} \rightarrow 0$  splits because every extension of  $\mathcal{O}_{L_p}(-1)$  by  $\mathcal{O}_{L_p}(1)^{\oplus N-2}$  splits. Indeed,  $\text{Ext}_{L_p}^1(\mathcal{O}_{L_p}(-1), \mathcal{O}_{L_p}(1)^{\oplus N-2})$  is isomorphic to  $\oplus^{N-2} H^1(L_p, \mathcal{O}_{L_p}(2)) = 0$ .

To prove the last statement of the lemma, note that, for each  $l \in L_p$ , if we let  $X_l$  be the projective line in  $\mathbb{P}_L$  which passes through  $p$  and corresponds to  $l$ , we obtain the following canonical isomorphisms:

$$\begin{aligned} \mathcal{N}_{E_1/\mathbb{P}_{L,1}}|_l &\simeq \frac{T_l \mathbb{P}_{L,1}}{T_l E_1} \xrightarrow{\simeq} T_p X_l \simeq & \frac{\langle X_l \rangle}{\langle p \rangle} & \simeq & \langle l \rangle \\ & \cap & & \cap & , \\ & & \frac{\text{Ext}_C^1(L, \mathcal{O}_C)}{\langle p \rangle} & \simeq \text{Ext}_C^1(L, (\pi_p)_* \mathcal{O}_{C_p}) & \end{aligned}$$

where, if  $V$  is a vector space, and  $S$  is a linear subspace of  $\mathbb{P}(V)$ , we denote by  $\langle S \rangle$  the linear subspace of  $V$  corresponding to  $S$ .  $\square$

Before we proceed to the proof of Theorem 5.1, it is important to study the following situation: Fix a node  $p$  of  $C$  (throughout this section), let  $T_p C$  be the projective tangent plane to  $C$  at  $p$ , and let  $X$  be a projective line in  $T_p C$  passing through  $p$ . As we saw in Lemma 3.2, such lines are parametrized by  $L_p$ . Any such line  $X_l$  ( $l \in L_p$ ) intersects  $C$  at  $p$  (and possibly at other points, but always a finite number), and there exists a rational map  $\phi_L|_{X_l}: X_l \rightarrow \overline{\mathcal{SU}_C(2, L)}$ , which extends uniquely to a morphism  $\psi_l$  defined on the whole  $X_l$ . We are interested in finding  $\psi_l(p)$ . The points of  $L_p$  are in one-to-one correspondence with torsion-free sheaves  $M_l$  ( $l \in L_p$ ) of rank 1 and degree 2 containing  $(\pi_p)_* \mathcal{O}_{C_p}$ , and

$$X_l = \mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, M_l))).$$

Note that, if  $l \neq p_1, p_2$ , then  $M_l$  is a line bundle, and if  $l = p_i$  ( $i = 1, 2$ ), then  $M_{p_i}$  is  $(\pi_p)_* \mathcal{O}_{C_p}(p_i)$ .

**Lemma 5.4.** *Let  $l \in L_p$ ,  $l \neq p_1, p_2$ . Then  $\psi_l(p)$  is the unique (up to isomorphisms) torsion-free sheaf  $E_l$  which can be written both as an extension*

$$0 \rightarrow (\pi_p)_* \mathcal{O}_{C_p} \rightarrow E_l \rightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow 0$$

and an extension

$$0 \rightarrow L \otimes M_l^* \rightarrow E_l \rightarrow M_l \rightarrow 0.$$

In particular, it is locally-free.

*Proof.* Since for every  $x \in X_l \setminus \{p\}$ ,  $\psi_l(x)$  maps onto  $M_l$ , the same is true for  $\psi_l(p)$ . Indeed, it cannot surject onto something of smaller degree, or it would not be semi-stable. Since  $\psi_l(p)$  is in  $\overline{\mathcal{SU}_C(2, L)}$ , the kernel of  $\psi_l(p) \rightarrow M_l$  must then be  $L \otimes M_l^*$ , and we have a short exact sequence  $0 \rightarrow L \otimes M_l^* \rightarrow \psi_l(p) \rightarrow M_l \rightarrow 0$ .

Since  $\psi_l(x)$  surjects onto  $L$  for every  $x \in X_l \setminus \{p\}$ ,  $\psi_l(p)$  surjects onto some torsion-free sheaf  $F \subseteq L$ , which must have  $\deg F \geq 2$  because  $\psi_l(p)$  is semi-stable. Moreover,  $F \neq L$  because in that case  $\psi_l(p)$  would be an extension of  $L$  by  $\mathcal{O}_C$ , but we know that the limit in  $\mathbb{P}_L$  is  $\mathcal{E}_L|_{\{p\} \times C}$ , which is not semi-stable. Since  $\psi_l(p)$  is an extension of  $M_l$  by  $L \otimes M_l^*$ , and every map from  $M_l$  to  $F$  is zero because  $M_l \not\cong F$ , the composite map  $L \otimes M_l^* \rightarrow \psi_l(p) \rightarrow F$  is non-zero, and therefore  $L \otimes M_l^* \subseteq F \subseteq L$ , which implies that  $F \simeq L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$ .

Therefore,  $\psi_l(p)$  is both an extension of  $M_l$  by  $L \otimes M_l^*$  and of  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by  $(\pi_p)_* \mathcal{O}_{C_p}$ , as claimed. Any such sheaf is in the kernel of the natural linear homomorphism  $\text{Ext}_C^1(M_l, L \otimes M_l^*) \rightarrow \text{Ext}_C^1(M_l, L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$  which is one-dimensional, being isomorphic to  $\text{Hom}_C(M_l, \mathbb{C}_p)$ .  $\square$

We shall prove in Proposition 6.2 that the points of  $L_{2,p} = \widetilde{T_p C} \cap E_2 \simeq L_p$  map to these vector bundles  $E_l$ . The following lemma describes their geometry.

**Lemma 5.5.** *The torsion-free sheaves  $E_l$  ( $l \in L_p$ ) form a conic in a quadric  $Q$  in*

$$\mathbb{P}^3 \simeq \mathbb{P}(\ker(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \rightarrow \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}(p_1 + p_2)))).$$

*Proof.* For every  $l \in L_p$ , let

$$\begin{aligned} X_{l,1} &:= \mathbb{P}(\ker(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \rightarrow \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, M_l))), \\ X_{l,2} &:= \mathbb{P}(\ker(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \rightarrow \text{Ext}_C^1(L \otimes M_l^*, (\pi_p)_* \mathcal{O}_{C_p}))). \end{aligned}$$

For each  $l \in L_p$ , the lines  $X_{l,1}$  and  $X_{l,2}$  span the plane<sup>2</sup>

$$\mathbb{P}(\ker(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \rightarrow \text{Ext}_C^1(L \otimes M_l^*, M_l))),$$

<sup>2</sup>For the dimension of the kernels involved in this proof, see [Arc04].

and the union of all these lines is a quadric  $Q$ . We shall show in Lemma 5.7 that  $H'_p := \overline{\{\det E \simeq L\}}$  is a hyperplane in  $\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ . Similarly,  $\mathbb{P}(H'_p) \cap \mathbb{P}^3$  is a hyperplane in this  $\mathbb{P}^3$ , and the intersection of  $\mathbb{P}(H'_p)$  with  $Q$  is the conic in the lemma, since we know that each  $E_l$  is contained in both.  $\square$

To prove Theorem 5.1, we shall first construct a torsion-free sheaf  $\mathcal{E}_{L,2}$  on  $\mathbb{P}_{L,2} \times C$ , and then show that it induces the rational map  $\phi_{L,2}$ . We can construct  $\mathcal{E}_{L,2}$ , starting with the torsion-free sheaf  $\mathcal{E}_{L,1}$  corresponding to the rational map  $\phi_{L,1}$ , as follows<sup>3</sup>

$$\mathcal{E}_{L,2} := \ker \left( (\varepsilon_2, 1)^* \mathcal{E}_{L,1} \longrightarrow \bigoplus_{p \in J} \varepsilon_2^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \right).$$

Moreover, the sheaf  $\bigoplus_{p \in J} \varepsilon_2^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$  is supported on  $E_2 \times C$ , and so  $\mathcal{E}_{L,2}$  defines the same map as  $\mathcal{E}_{L,1}$  on  $\mathbb{P}_{L,2} \setminus E_2 \simeq \mathbb{P}_{L,1} \setminus \coprod_{p \in J} L_p$ . The situation is very similar to the one in the first blow-up, and it is easy to see that, for every node  $p$ , every  $l \in L_p$  and every  $x \in E_2|_l$ , we have an exact sequence

$$0 \longrightarrow (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,2}|_{\{x\} \times C} \longrightarrow \mathcal{E}_{L,1}|_{\{l\} \times C} \longrightarrow (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow 0.$$

Since  $\mathcal{E}_{L,1}|_{\{l\} \times C} \simeq (\pi_p)_* \mathcal{O}_{C_p} \oplus (L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$  for every  $l \in L_p$ , we obtain that, for every  $x \in E_{2,p}$ ,  $\mathcal{E}_{L,2}|_{\{x\} \times C}$  is an extension of the following type:

$$0 \longrightarrow (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,2}|_{\{x\} \times C} \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0.$$

We shall prove in Proposition 6.2 that the sheaf  $\mathcal{E}_{L,2}$  induces the rational map  $\phi_{L,2}$ . In particular, for every  $l \in L_p$ , the restriction of  $\phi_{L,2}$  to  $E_2|_l$  is a rational map

$$E_2|_l \longrightarrow \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})),$$

that we want to prove to be linear, and to be a morphism for  $l \neq p_1, p_2$ .

**Lemma 5.6.** *For every node  $p$ , and every  $l \in L_p$ , the rational map*

$$\phi_{L,2}|_{E_2|_l} : E_2|_l \longrightarrow \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$$

*is linear.*

*Proof.* We give a direct proof of this lemma, but it also follows from the fact, that we shall prove in Lemma 6.1, that  $\mathcal{E}_{L,2}|_{E_2|_l \times C} \in \text{Ext}_{E_2|_l \times C}^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, \mathcal{O}_{E_2|_l}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p})$  (see [Arc04]).

From the proof of Lemma 5.3, it is clear that there exists a commutative diagram:

$$\begin{array}{ccc} E_2|_l & \xrightarrow{\phi_{L,2}|_{E_2|_l}} & \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})) \\ \cup & & \cup \\ \mathbb{P}(\mathcal{N}_{L_p/E_1}|_l) & \xrightarrow{\simeq} & \mathbb{P}(\text{Im } \psi_{L_p}) \end{array}.$$

Since the morphism  $\mathbb{P}(\mathcal{N}_{L_p/E_1}|_l) \rightarrow \mathbb{P}(\text{Im } \psi_{L_p})$  is a linear isomorphism, the map itself is linear.  $\square$

Let us now show that  $H'_p$  is a hyperplane in  $\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ .

**Lemma 5.7.** *The closure  $H'_p$  of the locus  $\{E \in \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \mid \det E \simeq L\}$  in  $\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  is a vector subspace of codimension 1.*

<sup>3</sup>For the existence of the map in the definition of  $\mathcal{E}_{L,2}$ , for a proof of its surjectivity, and for a more in depth analysis of the sheaf, see Section 6.

*Proof.* It is enough to show that the closure of

$$\mathbb{P}(\{E \in \text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p}) \mid \det E \simeq L\})$$

in  $\mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p}))$  is a linear hyperplane. Let  $E$  be a vector bundle in  $\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p})$ : What are the possible values for  $\det E$ ?

Let  $l \in L_p \setminus \{p_1, p_2\}$ , and consider the lines  $X_{l,1}$  and  $X_{l,2}$  that we defined in Lemma 5.5. All vector bundles  $E$  in  $X_{l,1}$  are of the form  $0 \rightarrow L \otimes M_{l'}^* \rightarrow E \rightarrow M_l \rightarrow 0$  for  $l' \in L_p \setminus \{p_1, p_2\}$ , and their determinant is of the form  $L \otimes M_l \otimes M_{l'}^*$ . Similarly, all of the vector bundles  $E$  in  $X_{l,2}$  are of the form  $0 \rightarrow L \otimes M_l^* \rightarrow E \rightarrow M_{l'} \rightarrow 0$ , and so their determinant is of the same form as above. Consider the rational map

$$\det : \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p})) \longrightarrow \overline{\{L' \in \text{Pic}^d(C) \mid \pi_p^* L' \simeq \pi_p^* L\}} \simeq \mathbb{P}^1.$$

It is defined on the locus of locally-free sheaves, and it extends to the locus of the extensions which are not push-forwards of extensions from  $C_p$  (see [Bho92]). Since it is an isomorphism on each line  $X_{l,i}$  with  $l \neq p_1, p_2$  and  $i \in \{1, 2\}$ , it is a surjective linear map.  $\square$

**Proposition 5.8.** *For every node  $p$ , and every  $l \in L_p$ ,  $l \neq p_1, p_2$ , the rational map*

$$E_2|_l \rightarrow \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p}))$$

*is an isomorphism onto its image  $\mathbb{P}(H'_p)$ . If  $l = p_i$  ( $i = 1, 2$ ), then it maps  $E_2|_l$  onto  $\mathbb{P}(\text{Im } \psi_{L_p})$ . In particular, if  $l \neq p_1, p_2$ , then  $\phi_2|_{E_2|_l}$  is a morphism.*

*Proof.* We already saw in Lemma 5.6 that the map is linear for every  $l \in L_p$ . Let  $x_l$  be the point of intersection between the strict transform of the projective line  $X_l$  with  $E_2$ . We shall prove in Proposition 6.2 that, if  $l \neq p_1, p_2$ ,  $\mathcal{E}_{L,2}|_{\{x_l\} \times C}$  is isomorphic to the vector bundle  $E_l$  of Lemma 5.4. Therefore, in this case, the image of  $E_2|_l$  contains  $\mathbb{P}(\text{Im } \psi_{L_p})$  and  $E_l$ . Since  $E_l \notin \mathbb{P}(\text{Im } \psi_{L_p})$ , the image of  $E_2|_l$  is the hyperplane  $H'_p$ .

If  $l = p_i$  ( $i = 1, 2$ ), then the image is just  $\mathbb{P}(\text{Im } \psi_{L_p})$ . Indeed, we already know that the map cannot be defined everywhere on  $E_2|_{p_i}$  ( $i = 1, 2$ ) because it contains a point on the strict transform  $\tilde{C}_2$  of  $C$  which is contained in the locus of indeterminacy of  $\phi_{L,2}$ . Therefore, it cannot be an isomorphism. Being a linear map, its image is contained in a hyperplane, which has to be  $\mathbb{P}(\text{Im } \psi_{L_p})$ .  $\square$

Theorem 5.1 will now follow from Proposition 6.2.

## 6. DESCRIPTION OF $\mathcal{E}_{L,2}$

Since, for every node  $p$ ,  $\mathcal{E}_{L,1}|_{L_p \times C}$  splits as  $(\mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p}) \oplus (L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*)$ , the map  $\mathcal{E}_{L,1} \rightarrow \bigoplus_{p \in J} \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$  which appears in the definition of  $\mathcal{E}_{L,2}$  is surjective.

Since the image of the composite map

$$(\varepsilon_2, 1)^* \mathcal{A}_1 \longrightarrow (\varepsilon_2, 1)^* \mathcal{E}_{L,1} \longrightarrow \bigoplus_{p \in J} \varepsilon_2^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$$

is  $\pi_{E_2}^* \varepsilon_2^* \mathcal{O}_{L_p}(1)$ , we obtain the following commutative diagram on  $\mathbb{P}_{L,2} \times C$ :

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & \mathcal{A}_2 & \longrightarrow & \mathcal{E}_{L,2} & \longrightarrow & \mathcal{B}_2 & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 (3) \quad 0 \longrightarrow & (\varepsilon_2, 1)^* \mathcal{A}_1 & \longrightarrow & (\varepsilon_2, 1)^* \mathcal{E}_{L,1} & \longrightarrow & (\varepsilon_2, 1)^* \mathcal{B}_1 & \longrightarrow 0, \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & \bigoplus_{p \in J} F_p & \longrightarrow & \bigoplus_{p \in J} F_p \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \bigoplus_{p \in J} F_p \boxtimes \mathbb{C}_p & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & 0 & & 0 & & 0 & 
 \end{array}$$

where  $\mathcal{A}_2$ ,  $\mathcal{E}_{L,2}$ , and  $\mathcal{B}_2$  are defined by the vertical exact sequences, and we denoted the locally-free sheaf  $\varepsilon_2^* \mathcal{O}_{L_p}(1)$  on  $E_{2,p}$  by  $F_p$  to simplify the notation.

We want to show that, for every node  $p$ , and every  $l \in L_p$ ,  $l \neq p_1, p_2$ ,  $\mathcal{E}_{L,2}|_{E_{2,l} \times C}$  is the universal bundle associated to  $H'_p$  when we identify  $E_{2,l}$  with  $H'_p$ . Let us start with a lemma.

**Lemma 6.1.** *For every node  $p$ , there exists a short exact sequence*

$$0 \longrightarrow (\varepsilon_2^* \mathcal{O}_{L_p}(1) \otimes \mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)|_{E_2}) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,2}|_{E_{2,p} \times C} \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0$$

on  $E_{2,p} \times C$ . Moreover, for each  $l \in L_p$ , there exists a short exact sequence

$$0 \longrightarrow \mathcal{O}_{E_{2,l}}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,2}|_{E_{2,l} \times C} \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0$$

on  $E_{2,l} \times C$ .

*Proof.* The restriction of diagram (3) to  $E_{2,p} \times C$  is

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & F_p(-E_2) & \longrightarrow & F_p(-E_2) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & F_p(-E_2) \boxtimes \mathbb{C}_p & \longrightarrow 0 \\
 & \simeq \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & F_p(-E_2) & \longrightarrow & \mathcal{E}_{L,2}|_{E_{2,p} \times C} & \longrightarrow & \mathcal{B}_2|_{E_{2,p} \times C} & \longrightarrow 0 \\
 & 0 \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & F_p & \longrightarrow & (\varepsilon_2, 1)^* \mathcal{E}_{L,1}|_{L_p \times C} & \longrightarrow & (\varepsilon_2, 1)^* \mathcal{B}_1|_{L_p \times C} & \longrightarrow 0 \\
 & \simeq \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow & F_p & \longrightarrow & F_p \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & F_p \boxtimes \mathbb{C}_p & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & 0 & & 0 & & 0 & 
 \end{array}$$

where  $F_p$  is  $\varepsilon_2^* \mathcal{O}_{L_p}(1)$  as above, and  $F_p(-E_2)$  is  $\varepsilon_2^* \mathcal{O}_{L_p}(1) \otimes \mathcal{O}_{E_2}(-E_2)$ .

The first statement of the lemma follows directly from the diagram by looking at the middle column and observing that the kernel of the map  $(\varepsilon_2, 1)^* \mathcal{E}_{L,1}|_{L_p \times C} \rightarrow \varepsilon_2^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$  on  $E_{2,p} \times C$  is  $\pi_C^*(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$ .

For the second statement, the diagram shows that  $\mathcal{B}_2|_{E_{2,p} \times C}$  has torsion. Also, for every  $l \in L_p$ ,  $\mathcal{B}_2|_{E_{2,l} \times C}$  has torsion, and  $\mathcal{B}_2|_{E_{2,l} \times C}/\text{Tors}$  is isomorphic to  $\ker((\varepsilon_2, 1)^* \mathcal{B}_1|_{\{l\} \times C} \rightarrow \mathcal{O}_{E_{2,l} \times \{p\}})$ . By the way we defined this last map, it is clear that this kernel is just the pull-back via  $(\varepsilon_2, 1)$  of  $\mathcal{B}_1|_{\{l\} \times C}$  modulo torsion, which is  $\pi_C^*(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$ . From the diagram, it is clear that the kernel of the map  $\mathcal{E}_{L,2}|_{E_{2,l} \times C} \rightarrow \pi_C^*(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$  is the same as the kernel of the map  $\mathcal{E}_{L,2}|_{E_{2,l} \times C} \rightarrow (\varepsilon_2, 1)^* \mathcal{E}_{L,1}|_{\{l\} \times C}$ , which is  $\mathcal{O}_{E_{2,l}}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$ .  $\square$

The following proposition will conclude the proof of Theorem 5.1.

**Proposition 6.2.** *The sheaf  $\mathcal{E}_{L,2}$  on  $\mathbb{P}_{L,2} \times C$  induces the rational map  $\phi_{L,2}$ .*

Let us start with a lemma.

**Lemma 6.3.** *If  $Y \subseteq \mathbb{P}_{L,2}$  is a smooth subvariety such that*

$$\text{codim}(Y, \mathbb{P}_{L,2}) = \text{codim}(Y \cap E_2, E_2),$$

*then  $\mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times C}, \mathcal{O}_{Y \times C}) = 0$  and  $\mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times \{p\}}, \mathcal{O}_{Y \times C}) = 0$ .*

*Proof.* Consider the short exact sequence  $0 \rightarrow \mathcal{O}_{\mathbb{P}_{L,2}}(-E_2) \xrightarrow{f} \mathcal{O}_{\mathbb{P}_{L,2}} \xrightarrow{g} \mathcal{O}_{E_2} \rightarrow 0$  on  $\mathbb{P}_{L,2}$  and its pull-back to  $\mathbb{P}_{L,2} \times C$ . If we tensor it with  $\mathcal{O}_{Y \times C}$ , we obtain the exact sequence

$$0 \rightarrow \mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times C}, \mathcal{O}_{Y \times C}) \rightarrow \mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)|_Y \xrightarrow{f|_{Y \times C}} \mathcal{O}_{Y \times C} \xrightarrow{g|_{Y \times C}} \mathcal{O}_{(Y \cap E_2) \times C} \rightarrow 0$$

on  $Y \times C$ , where the zero on the left occurs because  $\mathcal{O}_{\mathbb{P}_{L,2} \times C}$  is locally-free.

Since the codimension of  $Y \cap E_2$  in  $Y$  is 1,  $g$  is zero on the dense open subset  $(Y \setminus (Y \cap E_2)) \times C$ , and  $f$  is an isomorphism on it. Therefore,  $\mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times C}, \mathcal{O}_{Y \times C})$  is supported on  $(Y \cap E_2) \times C$ , and it must be zero, being a subsheaf of  $\mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)|_{Y \times C}$ , which is a locally-free sheaf on a bigger dimensional variety.

Consider now the short exact sequence  $0 \rightarrow ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_C \rightarrow \mathbb{C}_p \rightarrow 0$  on  $C$  and its pull-back  $0 \rightarrow \mathcal{O}_{E_2} \boxtimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_{E_2 \times C} \rightarrow \mathcal{O}_{E_2 \times \{p\}} \rightarrow 0$  to  $E_2 \times C$ . If we tensor this exact sequence with  $\mathcal{O}_{Y \times C}$  over  $\mathcal{O}_{\mathbb{P}_{L,2} \times C}$ , we obtain the exact sequence

$$0 \rightarrow T \rightarrow \mathcal{O}_{Y \cap E_2} \boxtimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_{(Y \cap E_2) \times C} \rightarrow \mathcal{O}_{(Y \cap E_2) \times \{p\}} \rightarrow 0$$

on  $(Y \cap E_2) \times C$ , where  $T = \mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times \{p\}}, \mathcal{O}_{Y \times C})$  and the zero on the left is the sheaf  $\mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times C}, \mathcal{O}_{Y \times C})$ . Just as above<sup>4</sup>,  $\mathcal{O}_{Y \cap E_2} \boxtimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_{(Y \cap E_2) \times C}$  is an isomorphism on the dense open subset  $(Y \cap E_2) \times (C \setminus \{p\})$ , whose complement has codimension 1, and therefore  $\mathcal{T}or_1^{\mathbb{P}_{L,2} \times C}(\mathcal{O}_{E_2 \times \{p\}}, \mathcal{O}_{Y \times C})$  must be zero, being supported on  $(Y \cap E_2) \times \{p\}$  and contained in the torsion-free sheaf  $\mathcal{O}_{Y \cap E_2} \boxtimes ((\pi_p)_* \mathcal{O}_{C_p})^*$ , which is supported on a bigger dimensional variety.  $\square$

*Proof (of Proposition 6.2).* It is clear that  $\mathcal{E}_{L,2}$  defines  $\phi_{L,2}$  on  $\mathbb{P}_{L,2} \setminus E_2$ . On  $E_2$ , we shall divide the proof in two part. We shall first show that, for every node  $p$ ,  $\mathcal{E}_{L,2}$  defines the rational map  $\phi_{L,2}$  on  $\tilde{E}_1 \cap E_{2,p} \simeq \mathbb{P}(\mathcal{N}_{L_p/E_1}) \subseteq E_2$ , where  $\tilde{E}_1$  is the strict transform of  $E_1$ , and then we prove that, if  $l \neq p_1, p_2$ , then  $\mathcal{E}_{L,2}|_{\{x_l\} \times C} \simeq E_l$ , where  $E_l$  is the vector bundle of Lemma 5.4.

Since  $\phi_{L,2}$  agrees with the rational map defined by  $\mathcal{E}_{L,2}$  on a dense open subset, we have that  $\phi_{L,2}(x)$  is  $\mathcal{E}_{L,2}|_{\{x\} \times C}$  whenever this is semi-stable. In particular, the proposition will follow from

<sup>4</sup>For another proof of  $T$  being 0, note that the map  $\mathcal{O}_{Y \cap E_2} \boxtimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_{(Y \cap E_2) \times C}$  is injective because it is the pull-back of the injective map  $((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow \mathcal{O}_C$  via the flat morphism  $(Y \cap E_2) \times C \rightarrow C$ .

the fact that  $\mathcal{E}_{L,2}$  is semi-stable for every  $x \in E_{2,p}$  except for  $x = \tilde{p}_i$ ,  $i = 1, 2$ , which are the only two points on  $E_{2,p}$  where we know that  $\phi_{L,2}$  cannot be defined.

To prove that  $\mathcal{E}_{L,2}$  defines the rational map  $\phi_{L,2}$  on  $\tilde{E}_1 \cap E_{2,p} \simeq \mathbb{P}(\mathcal{N}_{L_p/E_1}) \subseteq E_2$ , restrict the commutative diagram (3) to  $\tilde{E}_1|_p \times C$  to obtain:

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & \mathcal{A}_2|_{\tilde{E}_1|_p \times C} & \longrightarrow & \mathcal{E}_{L,2}|_{\tilde{E}_1|_p \times C} & \longrightarrow & \mathcal{B}_2|_{\tilde{E}_1|_p \times C} & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & \sigma^* \mathcal{O}_{E_1|_p}(1) & \longrightarrow & (\sigma, 1)^* \mathcal{E}_{L,1}|_{E_1|_p \times C} & \longrightarrow & (\sigma, 1)^* \mathcal{B}_1|_{E_1|_p \times C} & \longrightarrow 0, \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & \sigma^* \mathcal{O}_{L_p}(1) & \longrightarrow & \sigma^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \sigma^* \mathcal{O}_{L_p}(1) \boxtimes \mathbb{C}_p & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & 0 & & 0 & & 0 & 
 \end{array}$$

where  $\sigma: \tilde{E}_1|_p \rightarrow E_1|_p$  is the restriction of  $\varepsilon_2$  to  $\tilde{E}_1|_p$ . The vertical columns are exact because  $\text{Tor}_1^{\mathbb{P}^{L,2} \times C}(\varepsilon_2^* \mathcal{O}_{L_p}(1), \mathcal{O}_{\tilde{E}_1|_p \times C}) = \text{Tor}_1^{\mathbb{P}^{L,2} \times C}(\varepsilon_2^* \mathcal{O}_{L_p}(1) \boxtimes \mathbb{C}_p, \mathcal{O}_{\tilde{E}_1|_p \times C}) = 0$ . This is true because  $\varepsilon_2^* \mathcal{O}_{L_p}(1) \simeq \varepsilon_2^* \mathcal{O}_{\mathbb{P}^{L,1}}(-E_1) \otimes \mathcal{O}_{E_{2,p} \times C}$ , and since  $\varepsilon_2^* \mathcal{O}_{\mathbb{P}^{L,1}}(-E_1)$  is a locally-free sheaf, it is enough to show that  $\text{Tor}_1^{\mathbb{P}^{L,2} \times C}(\mathcal{O}_{E_{2,p} \times C}, \mathcal{O}_{\tilde{E}_1|_p \times C})$  and  $\text{Tor}_1^{\mathbb{P}^{L,2} \times C}(\mathcal{O}_{E_{2,p} \times \{p\}}, \mathcal{O}_{\tilde{E}_1|_p \times C})$  are both 0, which was proved in Lemma 6.3.

Since  $(\sigma, 1)^* \mathcal{E}_{L,1}|_{E_1|_p \times C}$  is an extension of  $\pi_C^*(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*)$  by  $\sigma^* \mathcal{O}_{E_1|_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$ , there exists a commutative diagram

$$\begin{array}{ccccccc}
 & 0 & & 0 & & & \\
 & \downarrow & & \downarrow & & & \\
 0 & \longrightarrow & \mathcal{A}'_2 & \longrightarrow & \mathcal{E}_{L,2}|_{\tilde{E}_1|_p \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0 \\
 & \downarrow & & \downarrow & & & \parallel \\
 0 & \longrightarrow & \sigma^* \mathcal{O}_{E_1|_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & (\sigma, 1)^* \mathcal{E}_{L,1}|_{E_1|_p \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0, \\
 & \downarrow & & \downarrow & & & \\
 \sigma^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & = & \sigma^* \mathcal{O}_{L_p}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & & & & \\
 & \downarrow & & \downarrow & & & \\
 & 0 & & 0 & & & 
 \end{array}$$

where  $\mathcal{A}'_2 \simeq (\sigma^* \mathcal{O}_{E_1|_p}(1) \otimes \mathcal{O}_{\tilde{E}_1|_p}(-(\tilde{E}_1|_p \cap E_2))) \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$ .

Using the natural isomorphisms  $\text{Ext}^1_{Y \times C}(L, F \boxtimes G) \simeq H^0(Y, F) \otimes \text{Ext}^1_C(L, G)$  (see [Arc04]) as we did in the proof of Proposition 4.2, we have the following diagram<sup>5</sup>

$$\begin{array}{ccccccc}
 \mathcal{E}_{L,1}|_{E_1|_p \times C} & \longleftrightarrow & \sum_{i=1}^n w_i^* \otimes \psi_{L_p}(w_i) & \in & H^0(E_1|_p, \mathcal{O}_{E_1|_p}(1)) \otimes V & & \\
 & & & & & \downarrow & \\
 (\sigma, 1)^* \mathcal{E}_{L,1}|_{E_1|_p \times C} & \longleftrightarrow & \sum_{i=1}^n w_i^* \otimes \psi_{L_p}(w_i) & \in & H^0(\tilde{E}_1|_p, \sigma^* \mathcal{O}_{E_1|_p}(1)) \otimes V & & \\
 & & & & & \uparrow & \\
 \mathcal{E}_{L,2}|_{\tilde{E}_1|_p \times C} & \longleftrightarrow & \sum_{i=3}^n w_i^* \otimes \psi_{L_p}(w_i) & \in & H^0(\tilde{E}_1|_p, \mathcal{A}'_2) \otimes V & & \\
 & & & & & \downarrow & \\
 \mathcal{E}_{L,2}|_{(\tilde{E}_1|_p \cap E_2|_l) \times C} & \longleftrightarrow & \sum_{i=3}^n \psi_{L_p}(w_i)^* \otimes \psi_{L_p}(w_i) & \in & H^0(\tilde{E}_1|_p \cap E_2|_l, \mathcal{O}_{\tilde{E}_1|_p \cap E_2|_l}(1)) \otimes V & & 
 \end{array}$$

where  $w_1, \dots, w_n$  is a basis of  $\text{Ext}^1_C(L, (\pi_p)_* \mathcal{O}_{C_p})$  such that  $\text{Span} \{w_1, w_2\} = \ker \psi_{L_p}$ ,  $w_1^*, \dots, w_n^*$  is the corresponding dual basis of  $\text{Ext}^1_C(L, (\pi_p)_* \mathcal{O}_{C_p})^* \simeq H^0(E_1|_p, \mathcal{O}_{E_1|_p}(1))$ , and we denoted  $\text{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  by  $V$  to simplify the diagram.

This proves that the torsion-free sheaf  $\mathcal{E}_{L,2}|_{(\tilde{E}_1|_p \cap E_2|_l) \times C}$  corresponds to the inclusion when we identify the vector space  $\text{Ext}^1_{(\tilde{E}_1|_p \cap E_2|_l) \times C}(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, \mathcal{O}_{\tilde{E}_1|_p \cap E_2|_l}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p})$  with the vector space  $\text{Hom}(\text{Im } \psi_{L_p}, \text{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$ . In particular, for every  $a \in \text{Im } \psi_{L_p}$ ,  $a \neq 0$ ,  $[a] \in \mathbb{P}(\text{Im } \psi_{L_p}) \simeq \mathbb{P}(\mathcal{N}_{L_p/E_1|_p}|_l) \simeq \tilde{E}_1|_p \cap E_2|_l$ , and  $\mathcal{E}_{L,2}|_{\{[a]\} \times C} \simeq a$  as extensions of  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by  $(\pi_p)_* \mathcal{O}_{C_p}$ .

To prove that, for every  $l \in L_p$ ,  $l \neq p_1, p_2$ ,  $\mathcal{E}_{L,2}|_{\{x_l\} \times C} \simeq E_l$ , and conclude the proof of the proposition, it suffices to show that  $\mathcal{E}_{L,2}|_{\{x_l\} \times C}$  is semi-stable. This is done by tracking the restrictions of  $\mathcal{E}$ ,  $\mathcal{E}_1$ , and  $\mathcal{E}_2$  to the product of  $X_l$  and its strict transforms with the curve  $C$ . Restricting the diagrams defining  $\mathcal{E}_1$  and  $\mathcal{E}_2$  to  $\tilde{X}_l \times C$ , we obtain a short exact sequence

$$0 \longrightarrow L \otimes M_l^* \longrightarrow \mathcal{E}_2|_{\tilde{X}_l \times C} \longrightarrow \mathcal{O}_{\tilde{X}_l}(-1) \boxtimes M_l \longrightarrow 0.$$

Therefore,  $\mathcal{E}_{L,2}|_{\{x_l\} \times C}$  cannot split, being an extension of  $M_l$  by  $L \otimes M_l^*$  and an extension of  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by  $(\pi_p)_* \mathcal{O}_{C_p}$ , and therefore it is semi-stable. By continuity, it must be isomorphic to  $E_l$ , that we proved to be the limit of  $\psi_l(x)$  as  $x \mapsto p$ .  $\square$

## 7. THE THIRD BLOW-UP

To resolve the indeterminacy of  $\phi_{L,2}$ , we now blow-up  $\mathbb{P}_{L,2}$  along  $\tilde{C}_2$ . Let

$$\mathbb{P}_{L,3} := \mathcal{BL}_{\tilde{C}_2} \mathbb{P}_{L,2} \xrightarrow{\varepsilon_3} \mathbb{P}_{L,2} \xrightarrow{\varepsilon_2} \mathbb{P}_{L,1} \xrightarrow{\varepsilon_2} \mathbb{P}_L,$$

and let  $E_3 \subseteq \mathbb{P}_{L,3}$  be the exceptional divisor. For each node  $p$ , let  $\tilde{p}_1, \tilde{p}_2$  be the points in  $\tilde{C}_2$  which map to  $p_1, p_2 \in \tilde{C}_1$ , respectively.

**Theorem 7.1.** *The composition  $\phi_{L,2} \circ \varepsilon_3: \mathbb{P}_{L,3} \longrightarrow \overline{\mathcal{SU}_C(2, L)}$  extends to a morphism  $\phi_{L,3}$  such that for each  $q \in \tilde{C}_2$  not lying above a node of  $C$ , the restriction of  $\phi_{L,3}$  to  $E_3|_q$  maps  $E_3|_q$*

<sup>5</sup>Note that  $\psi_{L_p}(w_1) = \psi_{L_p}(w_2) = 0$ .

isomorphically onto<sup>6</sup>  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ , and for each node  $p \in C$ , its restriction to  $E_3|_{\tilde{p}_i}$  sends  $E_3|_{\tilde{p}_i}$  isomorphically onto  $\mathbb{P}(H'_p)$  ( $i = 1, 2$ ).

**Corollary 7.2.** *The image of  $\phi_{L,3}$  in  $\overline{\mathcal{SU}_C(2, L)}$  is given by<sup>7</sup>*

$$\phi_L(\mathbb{P}_L \setminus C) \cup \bigcup_{p \in J} \mathbb{P}(H'_p) \cup \bigcup_{q \notin J} \mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q))).$$

We shall prove Theorem 7.1 in the next section, after we study the exceptional divisor  $E_3$  in this section. We know that  $E_3$  is canonically isomorphic to  $\mathcal{N}_{\tilde{C}_2/\mathbb{P}_{L,2}}$ .

Let  $q$  be a point of  $\tilde{C}_2$  not lying above a node of  $C$ . Then we have the canonical isomorphisms

$$\mathcal{N}_{\tilde{C}_2/\mathbb{P}_{L,2}}|_q \simeq \frac{T_q \mathbb{P}_{L,2}}{T_q \tilde{C}_2} \simeq \frac{T_q \mathbb{P}_L}{T_q C} \simeq \frac{\text{Ext}_C^1(L, \mathcal{O}_C)}{\langle q \rangle},$$

and so, to prove that  $E_3|_q \xrightarrow{\simeq} \mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ , it is necessary to prove that

$$T_q C \simeq \frac{\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))}{\langle q \rangle}.$$

Since, as in the proof of Lemma 2.5, the secant line joining two smooth points  $q, q'$  of  $C$  is  $\mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, \mathcal{O}_C(q+q'))))$ , and  $\text{Ext}_C^1(L, \mathcal{O}_C(q+q')) \simeq \text{Ext}_C^1(L(-q'), \mathcal{O}_C(q))$ , this follows when taking the limit as  $q' \rightarrow q$ .

Let now  $p$  be a node of  $C$ , and  $q = \tilde{p}_i$  with  $i \in \{1, 2\}$ . Then

$$\mathcal{N}_{\tilde{C}_2/\mathbb{P}_{L,2}}|_{\tilde{p}_i} \simeq \frac{T_{\tilde{p}_i} \mathbb{P}_{L,2}}{T_{\tilde{p}_i} \tilde{C}_2} \simeq T_{\tilde{p}_i} E_2.$$

This contains the canonical hyperplane  $T_{\tilde{p}_i}(E_2|_{p_i})$  which maps isomorphically to  $\text{Im } \psi_{L_p}$ . Indeed, using Lemma 5.3 and the fact that  $E_2 \simeq \mathbb{P}(\mathcal{N}_{L_p/\mathbb{P}_{L,1}})$ ,

$$T_{\tilde{p}_i}(E_2|_{p_i}) \simeq \frac{\mathcal{N}_{L_p/\mathbb{P}_{L,1}}|_{p_i}}{\langle \tilde{p}_i \rangle} \simeq \frac{\mathcal{N}_{L_p/E_1}|_{p_i} \oplus \mathcal{O}_{L_p}(-1)|_{p_i}}{\mathcal{O}_{L_p}(-1)|_{p_i}} \simeq \mathcal{N}_{L_p/E_1}|_{p_i},$$

that we already saw to be canonically isomorphic to  $\text{Im } \psi_{L_p}$ . We shall see in Proposition 9.3 that the morphism  $\mathbb{P}(T_{\tilde{p}_i}(E_2|_{p_i})) \rightarrow \overline{\mathcal{SU}_C(2, L)}$  factors through this canonical isomorphism, i.e., there exists a commutative diagram

$$(4) \quad \begin{array}{ccc} E_3|_{\tilde{p}_i} & \simeq & \mathbb{P}(T_{\tilde{p}_i} E_2) & \longrightarrow & \phi_{L,3}(E_3|_{\tilde{p}_i}) \subseteq \overline{\mathcal{SU}_C(2, L)} \\ & & \cup & & \uparrow \\ & & \mathbb{P}(T_{\tilde{p}_i}(E_2|_{p_i})) & \xrightarrow{\simeq} & \mathbb{P}(\text{Im } \psi_{L_p}) \end{array}.$$

We shall then show that the top map factors through an isomorphism  $E_3|_{\tilde{p}_i} \xrightarrow{\simeq} \mathbb{P}(H')$ .

As for the other blow-ups, to prove Theorem 7.1, the strategy is to construct a universal sheaf  $\mathcal{E}_{L,3}$  on  $\mathbb{P}_{L,3} \times C$ , and then prove that  $\mathcal{E}_{L,3}$  induces the correct rational map. In this case, we also want to prove that  $\mathcal{E}_{L,3}$  induces a morphism, i.e., that  $\mathcal{E}_{L,3}|_{\{x\} \times C}$  is semi-stable for every  $x \in \mathbb{P}_{L,3}$ . The definition of  $\mathcal{E}_{L,3}$  is not as evident as in the other two blow-ups, and we postpone it to the

<sup>6</sup>We identify here a point  $q$  on  $\tilde{C}_2$ ,  $q \neq \tilde{p}_1, \tilde{p}_2$ , with its image  $q$  on  $C$ .

<sup>7</sup>Note that by  $\mathbb{P}(H'_p)$  [resp.  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ ] we actually mean its image into  $\overline{\mathcal{SU}_C(2, L)}$  by the morphism described in the remark after Corollary 5.2 [resp. in Corollary 7.4].

next section. By construction,  $\mathcal{E}_{L,3}$  shall agree with  $\mathcal{E}_{L,2}$  on  $\mathbb{P}_{L,3} \setminus E_3 = \mathbb{P}_{L,2} \setminus \tilde{C}_2$ , and we shall show in Propositions 9.1 and 9.2 that, if  $q \in \tilde{C}_2$  does not lie over a node of  $C$ , then  $\mathcal{E}_{L,3}|_{E_3|_q \times C}$  induces the isomorphism of  $E_3|_q$  with  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$  described above. To prove that this induces a morphism from  $E_3|_q$  to  $\overline{\mathcal{SU}_C(2, L)}$ , we need to prove the following result.

**Lemma 7.3.** *All non-trivial extensions in  $\text{Ext}_C^1(L(-q), \mathcal{O}_C(q))$  are semi-stable.*

*Proof.* This proof is identical to the one of Lemma 3.4.  $\square$

**Corollary 7.4.** *The natural ‘forgetful’ map  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q))) \rightarrow \overline{\mathcal{SU}_C(2, L)}$  which sends an extension  $0 \rightarrow \mathcal{O}_C(q) \rightarrow E \rightarrow L(-q) \rightarrow 0$  to  $E$  is a morphism.*

Fix now a node  $p \in C$ . From the definition of  $\mathcal{E}_{L,3}$ , it will be clear that, as in the case of the first two blow-ups,  $\phi_{L,3}(E_3|_{\tilde{p}_i}) \subseteq \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$ , and therefore, using diagram (4), we can prove the following linearity result.

**Lemma 7.5.** *For  $i = 1, 2$ , the rational map*

$$\phi_{L,3}|_{E_3|_{\tilde{p}_i}} : E_3|_{\tilde{p}_i} \longrightarrow \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$$

*is linear.*

*Proof.* The proof is the same as the one of Lemma 5.6.  $\square$

For each  $i \in \{1, 2\}$ , since the map is linear, and we know it to send the hyperplane  $\mathbb{P}(T_{\tilde{p}_i}(E_2|_{p_i}))$  isomorphically onto  $\mathbb{P}(\text{Im } \psi_{L_p})$ , to prove that it maps  $E_3|_{\tilde{p}_i}$  isomorphically onto  $\mathbb{P}(H'_p)$ , it suffices to show that there exists a point  $y \in E_3|_{\tilde{p}_i}$  which maps to some point  $x \in \mathbb{P}(H'_p) \setminus \mathbb{P}(\text{Im } \psi_{L_p})$ .

For each point  $x \in \mathbb{P}(H'_p) \setminus \mathbb{P}(\text{Im } \psi_{L_p})$ , there exists a section  $s_x$  of  $E_{2,p} \rightarrow L_p$  defined as follows: If  $l \neq p_1, p_2$ ,  $s_x(l)$  is the unique point of  $E_2|_l$  which maps to  $x$  in  $\mathbb{P}(H'_p)$ . This defines a section on  $L_p \setminus \{p_1, p_2\}$ , which can be completed to a section of  $E_{2,p} \rightarrow L_p$  by taking its closure. Note that its closure must satisfy  $s_x(p_i) = \tilde{p}_i$  for  $i = 1, 2$ , because  $\tilde{p}_i$  is the only point on  $E_2|_{p_i}$  which does not map to  $\mathbb{P}(\text{Im } \psi_{L_p})$ , and  $x \notin \mathbb{P}(\text{Im } \psi_{L_p})$ .

We shall prove in Proposition 9.4 that, for every  $l \in L_p$ ,  $l \neq p_1, p_2$ , the point  $y_{l,i}$  defined as the intersection of the strict transform of  $s_{E_l}(L_p)$  with  $E_3|_{\tilde{p}_i}$  maps to  $E_l$  for  $i = 1, 2$ , and this shall complete the proof of Theorem 7.1.

## 8. DEFINITION OF $\mathcal{E}_{L,3}$

We shall define  $\mathcal{E}_{L,3}$  as the kernel of a map  $(\varepsilon_3, 1)^* \mathcal{E}_{L,2} \rightarrow (\varepsilon_3, 1)^* (\mathcal{A}_2|_{\tilde{C}_2 \times C} \otimes \mathcal{F}_2)$ , with  $\mathcal{F}_2$  a sheaf on  $\tilde{C}_2 \times C$  such that  $\mathcal{F}_2|_{\{q\} \times C} \simeq \mathcal{O}_C(q)$  if  $q \neq \tilde{p}_1, \tilde{p}_2$ , and  $\mathcal{F}_2|_{\{\tilde{p}_i\} \times C} \simeq (\pi_p)_* \mathcal{O}_{C_p}$  for  $i = 1, 2$ . The map corresponds to a map  $\mathcal{E}_L \rightarrow \pi_{\mathbb{P}_L}^* \mathcal{O}_{\mathbb{P}_L}(1)|_{C \times C} \otimes \mathcal{F}$ , where  $\mathcal{F} := \mathcal{I}_\Delta^*$ ,  $\mathcal{I}_\Delta$  being the ideal sheaf of the diagonal  $\Delta$  in  $C \times C$ .

Before we define the map, let us study  $\mathcal{F}$  in more detail.

**Lemma 8.1.** *There exists a short exact sequence*

$$0 \longrightarrow \mathcal{O}_{C \times C} \longrightarrow \mathcal{F} \longrightarrow \omega_\Delta^{-1} \longrightarrow 0.$$

*Moreover,  $\mathcal{F}|_{\{q\} \times C} \simeq \mathcal{O}_C(q)$  if  $q \neq p$ , and  $\mathcal{F}|_{\{p\} \times C} \simeq (\pi_p)_* \mathcal{O}_{C_p}$ .*

*Proof.* Starting with the short exact sequence  $0 \rightarrow \mathcal{I}_\Delta \rightarrow \mathcal{O}_{C \times C} \rightarrow \mathcal{O}_\Delta \rightarrow 0$ , and applying the functor  $\mathcal{H}om_{C \times C}(-, \mathcal{O}_{C \times C})$ , we obtain the short exact sequence<sup>8</sup>

$$0 \longrightarrow \mathcal{O}_{C \times C} \longrightarrow \mathcal{F} \longrightarrow \text{Ext}_{C \times C}^1(\mathcal{O}_\Delta, \mathcal{O}_{C \times C}) \longrightarrow 0.$$

<sup>8</sup>The sequence starts with  $\mathcal{H}om_{C \times C}(\mathcal{O}_\Delta, \mathcal{O}_{C \times C})$  which is zero because  $\mathcal{O}_{C \times C}$  is torsion-free, and ends with  $\text{Ext}_{C \times C}^1(\mathcal{O}_{C \times C}, \mathcal{O}_{C \times C})$  which is also zero (see [Har77, III.6.3]).

Moreover,  $\mathcal{E}xt_{C \times C}^1(\mathcal{O}_\Delta, \mathcal{O}_{C \times C}) \simeq \mathcal{E}xt_{C \times C}^1(\mathcal{O}_\Delta, \omega_{C \times C}) \otimes \omega_{C \times C}^{-1} \simeq \omega_\Delta \otimes \omega_{C \times C}^{-1} \simeq \omega_\Delta^{-1}$  (see [Har77, III.6.7]) since  $\omega_\Delta \simeq \mathcal{E}xt_{C \times C}^1(\mathcal{O}_\Delta, \omega_{C \times C})$  (see [Eis95, 21.15]), and  $\omega_{C \times C}|_\Delta \simeq \omega_\Delta^{\otimes 2}$ .

Now, for any  $q \in C$ , restricting the short exact sequence to  $\{q\} \times C$ , we obtain a short exact sequence  $0 \rightarrow \mathcal{O}_C \rightarrow \mathcal{F}|_{\{q\} \times C} \rightarrow \mathbb{C}_q \rightarrow 0$  which does not split.

We know that  $\mathcal{E}_L$  is the extension of  $\pi_C^* L$  by  $\pi_{\mathbb{P}_L}^* \mathcal{O}_{\mathbb{P}_L}(1)$  which corresponds to the identity in  $\text{Hom}(\text{Ext}_C^1(L, \mathcal{O}_C), \text{Ext}_C^1(L, \mathcal{O}_C))$ . Since  $\pi_{\mathbb{P}_L}^* \mathcal{O}_{\mathbb{P}_L}(1)|_{C \times C} \simeq \pi_1^*(L \otimes \omega_C)$ , where  $\pi_1$  is the first projection  $C \times C \rightarrow C$ , we obtain the following short exact sequence on  $C \times C$ :

$$0 \longrightarrow \pi_1^*(L \otimes \omega_C) \longrightarrow \mathcal{E}_L|_{C \times C} \longrightarrow \pi_2^* L \longrightarrow 0.$$

The map  $\pi_1^*(L \otimes \omega_C) \hookrightarrow \pi_1^*(L \otimes \omega_C) \otimes \mathcal{F}$  extends to a map  $\mathcal{E}_L|_{C \times C} \rightarrow \pi_1^*(L \otimes \omega_C) \otimes \mathcal{F}$  if  $\mathcal{E}_L|_{C \times C}$  is in the kernel of the natural linear homomorphism

$$\text{Ext}_{C \times C}^1(\pi_2^* L, \pi_1^*(L \otimes \omega_C)) \longrightarrow \text{Ext}_{C \times C}^1(\pi_2^* L, \pi_1^*(L \otimes \omega_C) \otimes \mathcal{F}),$$

i.e., if  $\mathcal{E}_L|_{C \times C}$  is in the image of the natural linear homomorphism

$$\text{Hom}_{C \times C}(\pi_2^* L, \pi_1^*(L \otimes \omega_C) \otimes \omega_\Delta^{-1}) \longrightarrow \text{Ext}_{C \times C}^1(\pi_2^* L, \pi_1^*(L \otimes \omega_C)).$$

Let us prove that this is the case. Since  $\pi_1^*(L \otimes \omega_C) \otimes \omega_\Delta^{-1}$  is isomorphic to  $L$  on  $\Delta \simeq C$ ,  $\text{Hom}_{C \times C}(\pi_2^* L, \pi_1^*(L \otimes \omega_C) \otimes \omega_\Delta^{-1}) \simeq H^0(\Delta, \mathcal{O}_\Delta) \simeq \mathbb{C}$ . Moreover,

$$\text{Ext}_{C \times C}^1(\pi_2^* L, \pi_1^*(L \otimes \omega_C)) \simeq \text{Ext}_C^1(L, \mathcal{O}_C)^* \otimes \text{Ext}_C^1(L, \mathcal{O}_C),$$

which has the canonical identity element corresponding to  $\mathcal{E}_L|_{C \times C}$ . The constant section 1 of  $\mathcal{O}_\Delta$  maps to the identity, and our claim is proved, i.e., there exists a map  $\mathcal{E}_L|_{C \times C} \rightarrow \pi_{\mathbb{P}_L}^* \mathcal{O}_{\mathbb{P}_L}(1)|_{C \times C} \otimes \mathcal{F}$  as claimed at the beginning of the section.

This map is surjective because its restriction to  $\{q\} \times C$ ,  $q \neq p$ , [resp. to  $\{p\} \times C$ ] is the surjective map  $\mathcal{E}_L|_{\{q\} \times C} \rightarrow \mathcal{O}_C(q)$  [resp.  $\mathcal{E}_L|_{\{p\} \times C} \rightarrow (\pi_p)_* \mathcal{O}_{C_p}$ ] which makes  $\mathcal{E}_L|_{\{q\} \times C}$  [resp.  $\mathcal{E}_L|_{\{p\} \times C}$ ] not semi-stable.

There exists a commutative diagram

$$\begin{array}{ccccccc} \mathcal{E}_{L,1}|_{\tilde{C}_1 \times C} & \longrightarrow & \mathcal{A}_1|_{\tilde{C}_1 \times C} \otimes \mathcal{F}_1 & \longrightarrow & 0 \\ \downarrow & & \downarrow & & , \\ (\sigma, 1)^*(\mathcal{E}_L|_{C \times C}) & \xrightarrow{g} & \sigma^* \mathcal{O}_{\mathbb{P}_L}(1)|_{\tilde{C}_1 \times C} \otimes (\sigma, 1)^* \mathcal{F} & \longrightarrow & 0 \end{array}$$

where  $\sigma : \tilde{C}_1 \rightarrow C$  is the restriction of  $\varepsilon_1$  to  $\tilde{C}_1$ , and  $\mathcal{F}_1$  is defined by the first row being exact. Since the restriction of the short exact sequence defining  $\mathcal{E}_{L,1}$  to  $\tilde{C}_1 \times C$  stays exact (see Lemma 6.3) the cokernel of the vertical map on the left is  $\mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_* \mathcal{O}_{C_p}$ . Since the fiber of  $\ker g$  at  $\{p_i\} \times C$  has degree 2 for  $i = 1, 2$ , it maps to zero into  $(\pi_p)_* \mathcal{O}_{C_p}$ , and we obtain the following

commutative diagram on  $\tilde{C}_1 \times C$ :

$$\begin{array}{ccccccc}
 & & 0 & & & 0 & \\
 & & \downarrow & & & \downarrow & \\
 0 & \longrightarrow & \ker g & \longrightarrow & \mathcal{E}_{L,1}|_{\tilde{C}_1 \times C} & \longrightarrow & \mathcal{A}_1|_{\tilde{C}_1 \times C} \otimes \mathcal{F}_1 & \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & \ker g & \longrightarrow & (\sigma, 1)^*(\mathcal{E}_L|_{C \times C}) & \xrightarrow{g} & \sigma^*\mathcal{O}_{\mathbb{P}_L}(1)|_{\tilde{C}_1} \otimes (\sigma, 1)^*\mathcal{F} & \longrightarrow 0 \\
 & & & & \downarrow & & \downarrow & \\
 & & \mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & = & \mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} & & & \\
 & & \downarrow & & \downarrow & & & \\
 & & 0 & & 0 & & & 
 \end{array}$$

If we restrict to  $\{q\} \times C$ , for  $q \in \tilde{C}_1$ ,  $q \neq p_1, p_2$ , then  $\mathcal{F}_1|_{\{q\} \times C} \simeq \mathcal{F}|_{\{q\} \times C} \simeq \mathcal{O}_C(q)$ . Let  $i \in \{1, 2\}$ . If we restrict the right column to  $\{p_i\} \times C$ , we obtain

$$0 \longrightarrow T \longrightarrow \mathcal{F}_1|_{\{p_i\} \times C} \longrightarrow \mathcal{F}|_{\{p_i\} \times C} \xrightarrow{\simeq} (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow 0,$$

where  $T = \text{Tor}_1^{\tilde{C}_1 \times C}(\mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}, \mathcal{O}_{\{p_i\} \times C})$ .

To calculate this sheaf, consider  $0 \rightarrow \mathcal{O}_{\tilde{C}_1}(-p_i) \rightarrow \mathcal{O}_{\tilde{C}_1} \rightarrow \mathcal{O}_{\{p_i\}} \rightarrow 0$  on  $\tilde{C}_1$  and its pull-back to  $\tilde{C}_1 \times C$ . We want to tensor it with  $\mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$ , and we do it in two steps. We first tensor it with  $\pi_C^*((\pi_p)_*\mathcal{O}_{C_p})$  to obtain

$$0 \longrightarrow \mathcal{O}_{\tilde{C}_1}(-p_i) \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow \mathcal{O}_{\{p_i\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow 0,$$

where the map  $\mathcal{O}_{\tilde{C}_1}(-p_i) \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \rightarrow (\pi_p)_*\mathcal{O}_{C_p}$  is injective because it is an isomorphism on the dense open subset  $(\tilde{C}_1 \setminus \{p_i\}) \times C$  whose complement has codimension 1, and therefore the image of any torsion sheaf appearing on the left will be zero, being a subsheaf of a torsion-free sheaf supported on a codimension 1 subvariety. Then we tensor the short exact sequence with  $\pi_{\tilde{C}_1}^* \mathcal{O}_{\{p_1, p_2\}}$  to obtain

$$0 \longrightarrow T \longrightarrow \mathcal{O}_{\tilde{C}_1}(-p_i)|_{\{p_1, p_2\}} \boxtimes \pi_*\mathcal{O}_N \longrightarrow \mathcal{O}_{\{p_1, p_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow \mathcal{O}_{\{p_i\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p} \longrightarrow 0,$$

from which is clear that  $T \simeq \mathcal{O}_{\{p_i\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$ , and therefore  $\mathcal{F}_1|_{\{p_i\} \times C} \simeq (\pi_p)_*\mathcal{O}_{C_p}$ .

An identical process defines a sheaf  $\mathcal{F}_2$  on  $\tilde{C}_2 \times C$  such that

$$\begin{array}{ccccccc}
 \mathcal{E}_{L,2}|_{\tilde{C}_2 \times C} & \longrightarrow & \mathcal{A}_2|_{\tilde{C}_2 \times C} \otimes \mathcal{F}_2 & \longrightarrow & 0 & & \\
 \downarrow & & \downarrow & & & & , \\
 \mathcal{E}_{L,1}|_{\tilde{C}_1 \times C} & \longrightarrow & \mathcal{A}_1|_{\tilde{C}_1 \times C} \otimes \mathcal{F}_1 & \longrightarrow & 0 & & 
 \end{array}$$

where we identify  $\tilde{C}_2$  and  $\tilde{C}_1$  via the isomorphism  $\varepsilon_2|_{\tilde{C}_2}$ . Since the cokernel of the vertical maps is again  $\mathcal{O}_{\{\tilde{p}_1, \tilde{p}_2\}} \boxtimes (\pi_p)_*\mathcal{O}_{C_p}$ , the exact same proof as above shows that  $\mathcal{F}_2|_{\{q\} \times C} \simeq \mathcal{O}_C(q)$  if  $q \in \tilde{C}_2$ ,  $q \neq \tilde{p}_1, \tilde{p}_2$ , and  $\mathcal{F}_2|_{\{\tilde{p}_i\} \times C} \simeq (\pi_p)_*\mathcal{O}_{C_p}$  for  $i = 1, 2$ .

We define  $\mathcal{E}_{L,3}$  to be the kernel of the map  $(\varepsilon_3, 1)^*\mathcal{E}_{L,2} \rightarrow (\varepsilon_3, 1)^*(\mathcal{A}_2|_{\tilde{C}_2 \times C} \otimes \mathcal{F}_2)$ .

9. RELATION BETWEEN  $\mathcal{E}_{L,3}$  AND  $\phi_{L,3}$ 

**Proposition 9.1.** *For every  $q \in \tilde{C}_2$  mapping to a smooth point  $q \in C$ ,  $\phi_{L,3}|_{E_3|_q}$  is a morphism, and it maps  $E_3|_q$  isomorphically to  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ .*

*Proof.* We proved in Section 7 that  $E_3|_q \simeq \mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ , and therefore we need to show that under this identification,  $\phi_{L,3}$  is the identity map. The proposition follows from Proposition 9.2.  $\square$

**Proposition 9.2.** *The restriction of the torsion-free sheaf  $\mathcal{E}_{L,3}$  to  $E_3|_q \times C$  is the element of the vector space  $\text{Ext}_{E_3|_q \times C}^1(\pi_C^* L(-q), \mathcal{O}_{E_3|_q}(1) \boxtimes \mathcal{O}_C(q))$  which corresponds to the identity under the identification of this extension space with  $\text{Hom}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)), \text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$ .*

*Proof.* This proof is very similar to the proof of Proposition 4.2. Let  $H \subseteq \mathbb{P}_L$  be a linear hyperplane which contains  $q$ , does not contain any node  $p$  of  $C$ , and is transverse to the curve  $C$  at  $q$  (i.e.,  $H$  does not contain  $T_q C$ ). Then  $H$  is isomorphic to its strict transform in  $\mathbb{P}_{L,2}$ , that we shall still denote by  $H$ . It is clear that  $\mathcal{E}_{L,2}|_{H \times C}$  is  $\mathcal{E}_L|_{H \times C}$ , and therefore it is an extension  $0 \rightarrow \pi_H^* \mathcal{O}_H(1) \rightarrow \mathcal{E}_{L,2}|_{H \times C} \rightarrow \pi_C^* L \rightarrow 0$  on  $H \times C$ .

Let  $\sigma : \tilde{H} \rightarrow H$  be the blow-up of  $H$  at  $q$ , and let  $E' \subseteq \tilde{H}$  be the exceptional divisor. Then there exists a commutative diagram on  $\tilde{H} \times C$ :

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow \sigma^* \mathcal{O}_H(1) \otimes \mathcal{O}_{\tilde{H}}(-E') & \longrightarrow & \mathcal{E}_{L,3}|_{\tilde{H} \times C} & \longrightarrow & \mathcal{B}_3|_{\tilde{H} \times C} & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow \sigma^* \mathcal{O}_H(1) & \longrightarrow & (\sigma, 1)^* \mathcal{E}_{L,2}|_{H \times C} & \longrightarrow & L & \longrightarrow 0, \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \longrightarrow \mathcal{O}_{E' \times C} & \longrightarrow & \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) & \longrightarrow & \mathcal{O}_{E' \times \{q\}} & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & & 0 & & 0 & & 
 \end{array}$$

where  $\mathcal{B}_3$  is defined exactly in the same way we defined  $\mathcal{B}_1$  and  $\mathcal{B}_2$ , and the columns are exact (see Lemma 6.3).

Let  $\mathcal{E}'_H$  be the push-forward of  $(\sigma, 1)^* \mathcal{E}_{L,2}|_{H \times C}$  via  $\sigma^* \mathcal{O}_H(1) \hookrightarrow \sigma^* \mathcal{O}_H(1) \boxtimes \mathcal{O}_C(q)$ :

$$\begin{array}{ccccccc}
 0 \longrightarrow & \sigma^* \mathcal{O}_H(1) & \longrightarrow & (\sigma, 1)^* \mathcal{E}_{L,2}|_{H \times C} & \longrightarrow & L & \longrightarrow 0 \\
 (5) & & \downarrow & & \downarrow & & \parallel \\
 0 \longrightarrow \sigma^* \mathcal{O}_H(1) \boxtimes \mathcal{O}_C(q) & \longrightarrow & \mathcal{E}'_H & \longrightarrow & L & \longrightarrow 0
 \end{array}$$

Then the restriction of  $\mathcal{E}'_H$  to  $E' \times C$  splits. Indeed,  $\sigma^* \mathcal{O}_H(1)|_{E'} \simeq \mathcal{O}_{E'}$ , and via the identification  $\text{Ext}_{E' \times C}^1(L, \mathcal{O}_C(q)) \simeq H^0(E', \mathcal{O}_{E'}) \otimes \text{Ext}_C^1(L, \mathcal{O}_C(q))$  (see [Arc04]), we see that  $\mathcal{E}'_H|_{E' \times C}$  splits as long as  $\mathcal{E}'_H|_{\{x\} \times C}$  splits for some  $x \in E'$ . Restricting the diagram (5) above to  $\{x\} \times C$  for any  $x \in E'$ , we see that  $\mathcal{E}'_H|_{\{x\} \times C}$  is the trivial extension  $\psi_q(\mathcal{E}_L|_{\{q\} \times C})$ .

Therefore, there exists a surjective map  $\mathcal{E}'_H \rightarrow \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q)$ , and we can define  $\mathcal{E}'_{H,1}$  to be its kernel:  $0 \rightarrow \mathcal{E}'_{H,1} \rightarrow \mathcal{E}'_H \rightarrow \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) \rightarrow 0$ . Then there exists the following commutative diagram

on  $\tilde{H} \times C$ :

$$\begin{array}{ccccccc}
 & & 0 & & & 0 & \\
 & & \downarrow & & & \downarrow & \\
 0 \longrightarrow & (\sigma^* \mathcal{O}_H(1) \otimes \mathcal{O}_{\tilde{H}}(-E')) \boxtimes \mathcal{O}_C(q) & \longrightarrow & & \mathcal{E}'_{H,1} & \longrightarrow L & \longrightarrow 0 \\
 & \downarrow & & & \downarrow & & \parallel \\
 0 \longrightarrow & \sigma^* \mathcal{O}_H(1) \boxtimes \mathcal{O}_C(q) & \longrightarrow & & \mathcal{E}'_H & \longrightarrow L & \longrightarrow 0. \\
 & \downarrow & & & \downarrow & & \\
 & \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) & = & \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) & & & \\
 & \downarrow & & & \downarrow & & \\
 & 0 & & & 0 & & 
 \end{array}$$

Moreover, we have the following commutative diagram on  $\tilde{H} \times C$  which relates  $\mathcal{E}'_H$  and  $\mathcal{E}'_{H,1}$  to  $\mathcal{E}_{L,2}|_{H \times C}$  and  $\mathcal{E}_{L,3}|_{\tilde{H} \times C}$ :

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 \longrightarrow & \mathcal{E}_{L,3}|_{\tilde{H} \times C} & \xrightarrow{i_1} & (\sigma, 1)^* \mathcal{E}_{L,2}|_{H \times C} & \longrightarrow & \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \parallel & \\
 0 \longrightarrow & \mathcal{E}'_{H,1} & \xrightarrow{i'_1} & \mathcal{E}'_H & \longrightarrow & \mathcal{O}_{E'} \boxtimes \mathcal{O}_C(q) & \longrightarrow 0. \\
 & \downarrow & & \downarrow & & & \\
 & \sigma^* \mathcal{O}_H(1) \boxtimes \mathbb{C}_q & = & \sigma^* \mathcal{O}_H(1) \boxtimes \mathbb{C}_q & & & \\
 & \downarrow & & \downarrow & & & \\
 & 0 & & 0 & & & 
 \end{array}$$

When we restrict the first two rows of this diagram to  $E' \times C$ , and we look at the image of the restrictions of  $i_1$  and  $i'_1$  to  $E' \times C$ , we obtain the following diagram, where the first row shows that the restriction of  $\mathcal{E}_{L,3}$  to  $E_3|_q \times C \simeq E' \times C$  is an extension of  $\pi_C^* L(-q)$  by  $\mathcal{O}_{E_3|_q}(1) \boxtimes \mathcal{O}_C(q)$ :

$$\begin{array}{ccccccc}
 0 \longrightarrow & \mathcal{O}_{E'}(1) \boxtimes \mathcal{O}_C(q) & \longrightarrow & \mathcal{E}_{L,3}|_{E' \times C} & \xrightarrow{i_1|_{E' \times C}} & L(-q) & \longrightarrow 0 \\
 & \parallel & & \downarrow & & \downarrow & \\
 0 \longrightarrow & \mathcal{O}_{E'}(1) \boxtimes \mathcal{O}_C(q) & \longrightarrow & \mathcal{E}'_{H,1}|_{E' \times C} & \xrightarrow{i'_1|_{E' \times C}} & L & \longrightarrow 0
 \end{array}$$

This shows that  $\mathcal{E}_{L,3}|_{E' \times C}$  is the pull-back of  $\mathcal{E}'_{H,1}|_{E' \times C}$  via the pull-back of the inclusion  $L(-q) \hookrightarrow L$  from  $C$  to  $E' \times C$ . Here is a summary of how to construct  $\mathcal{E}_{L,3}|_{E' \times C}$ :

$$\begin{array}{ccc}
 \mathcal{E}_{L,2}|_{H \times C} & \in & \mathrm{Ext}^1_{H \times C}(L, \mathcal{O}_H(1)) \\
 & & \downarrow \\
 (\sigma, 1)^* \mathcal{E}_{L,2}|_{H \times C} & \in & \mathrm{Ext}^1_{\tilde{H} \times C}(L, \sigma^* \mathcal{O}_H(1)) \\
 & & \downarrow \\
 \mathcal{E}'_H & \in & \mathrm{Ext}^1_{\tilde{H} \times C}(L, \sigma^* \mathcal{O}_H(1) \boxtimes \mathcal{O}_C(q)) \\
 & & \uparrow \\
 \mathcal{E}'_{H,1} & \in & \mathrm{Ext}^1_{\tilde{H} \times C}(L, (\sigma^* \mathcal{O}_H(1) \otimes \mathcal{O}_{\tilde{H}}(-E')) \boxtimes \mathcal{O}_C(q)) \\
 & & \downarrow \\
 \mathcal{E}'_{H,1}|_{E' \times C} & \in & \mathrm{Ext}^1_{E' \times C}(L, \mathcal{O}_{E'}(1) \boxtimes \mathcal{O}_C(q)) \\
 & & \downarrow \\
 \mathcal{E}_{L,3}|_{E' \times C} & \in & \mathrm{Ext}^1_{E' \times C}(L(-q), \mathcal{O}_{E'}(1) \boxtimes \mathcal{O}_C(q))
 \end{array}$$

Using the isomorphisms  $\mathrm{Ext}^1_{Y \times C}(L, F \boxtimes G) \simeq H^0(Y, F) \otimes \mathrm{Ext}^1_C(L, G)$  (see [Arc04]), we can understand what extension  $\mathcal{E}_{L,3}|_{E' \times C}$  is by tracking the corresponding elements in these spaces. Let  $v_0, \dots, v_n$  be a basis of  $\mathrm{Ext}^1_C(L, \mathcal{O}_C)$  with  $\mathrm{Span}\{v_1, \dots, v_n\} = \langle H \rangle$ , and  $\mathrm{Span}\{v_0, v_1\} = \langle T_q C \rangle$ . Let  $v_0^*, \dots, v_n^*$  be the corresponding dual basis in  $\mathrm{Ext}^1_C(L, \mathcal{O}_C)^*$ . Then  $v_1^*, \dots, v_n^*$  is a basis of  $\langle H \rangle^* \simeq H^0(H, \mathcal{O}_H(1))$ , and  $\mathcal{E}_{L,2}|_{H \times C}$  corresponds to the element  $\sum_{i=1}^n v_i^* \otimes v_i \in H^0(H, \mathcal{O}_H(1)) \otimes \mathrm{Ext}^1_C(L, \mathcal{O}_C)$ . Let  $\psi_{-q}: \mathrm{Ext}^1_C(L, \mathcal{O}_C(q)) \rightarrow \mathrm{Ext}^1_C(L(-q), \mathcal{O}_C(q))$  be the natural linear homomorphism. Since  $\psi_q(v_1) = 0$  and  $\ker(\psi_{-q} \circ \psi_q) = \mathrm{Span}\{v_0, v_1\}$ , we can calculate that  $\mathcal{E}_{L,3}|_{E' \times C}$  corresponds to the element  $\sum_{i=2}^n w_i^* \otimes w_i \in H^0(E', \mathcal{O}_{E'}(1)) \otimes \mathrm{Ext}^1_C(L(-q), \mathcal{O}_C(q))$ , where, for  $2 \leq i \leq n$ ,  $w_i = \psi_{-q}(\psi_q(v_i))$ . Therefore,  $\mathcal{E}_{L,3}$  corresponds to the identity in the vector space  $\mathrm{Hom}(\mathrm{Ext}^1_C(L(-q), \mathcal{O}_C(q)), \mathrm{Ext}^1_C(L(-q), \mathcal{O}_C(q)))$ , as claimed.  $\square$

We now prove that, for every node  $p$  of  $C$ , and for every  $i \in \{1, 2\}$ ,  $\phi_{L,3}|_{\mathbb{P}(T_{\tilde{p}_i}(E_2|_{p_i}))}$  factors through the canonical isomorphism  $\mathbb{P}(T_{\tilde{p}_i}(E_2|_{p_i})) \xrightarrow{\sim} \mathbb{P}(\mathrm{Im} \psi_{L_p})$  described in Section 7.

**Proposition 9.3.** *For every node  $p$  in  $C$ , and every  $i \in \{1, 2\}$ , the extension*

$$\mathcal{E}_{L,3}|_{\mathbb{P}(\mathcal{N}_{\{\tilde{p}_i\}/E_2|_{p_i}}) \times C} \in \mathrm{Ext}^1_{\mathbb{P}(\mathcal{N}_{\{\tilde{p}_i\}/E_2|_{p_i}}) \times C}(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, \mathcal{O}_{\mathbb{P}(\mathcal{N}_{\{\tilde{p}_i\}/E_2|_{p_i}})}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p})$$

*corresponds to the inclusion in  $\mathrm{Hom}(\mathrm{Im} \psi_{L_p}, \mathrm{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$  under the canonical identification  $\mathcal{N}_{\{\tilde{p}_i\}/E_2|_{p_i}} \simeq \mathrm{Im} \psi_{L_p}$ .*

*Proof.* Let  $i \in \{1, 2\}$ . From our description of  $\mathcal{E}_{L,2}$  and  $\phi_{L,2}$ , it is clear that  $\mathcal{E}_{L,2}|_{E_2|_{p_i} \times C}$  induces the linear map given by projection from  $\tilde{p}_i$ , i.e., it corresponds to a linear homomorphism  $\mathcal{N}_{L_p/\mathbb{P}_{L,1}|_{p_i}} \rightarrow \mathrm{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  of kernel  $\langle \tilde{p}_i \rangle$  and image  $\mathrm{Im} \psi_{L_p}$ . Therefore, we can find a basis  $w_1, \dots, w_n$  of  $\mathcal{N}_{L_p/\mathbb{P}_{L,1}|_{p_i}}$  and a basis  $v_0, \dots, v_n$  of  $\mathrm{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  such that  $\langle \tilde{p}_i \rangle = \mathrm{Span}\{w_1\}$ ,  $\mathrm{Im} \psi_{L_p} = \mathrm{Span}\{v_2, \dots, v_n\}$ , and  $\mathrm{Span}\{w_1, w_j\}$  maps to  $\mathrm{Span}\{v_j\}$  for every  $2 \leq j \leq n$  under the homomorphism corresponding to  $\mathcal{E}_{L,2}|_{E_2|_{p_i} \times C}$ . In particular, this sheaf corresponds to  $\sum_{j=2}^n w_j^* \otimes v_j$  in  $H^0(E_2|_{p_i}, \mathcal{O}_{E_2|_{p_i}}(1)) \otimes \mathrm{Ext}^1_C(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ .

To simplify the notation, let us denote  $E_2|_{p_i}$  by  $X$  and its blow-up at  $\tilde{p}_i$  by  $\sigma: \tilde{X} \rightarrow X$ , with  $E'$  the exceptional divisor. Then there exists a short exact sequence

$$0 \longrightarrow \mathcal{E}_{L,3}|_{\tilde{X} \times C} \longrightarrow (\sigma, 1)^* \mathcal{E}_{L,2}|_{X \times C} \longrightarrow \mathcal{O}_{E'} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow 0,$$

obtained by restricting the short exact sequence defining  $\mathcal{E}_{L,3}$  to  $\tilde{X} \times C$ . It stays exact because of Lemma 6.3.

There exists the following commutative diagram

$$\begin{array}{ccccccc}
& 0 & & 0 & & & \\
& \downarrow & & \downarrow & & & \\
0 \longrightarrow & \mathcal{A} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}_{L,3}|_{\tilde{X} \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* & \longrightarrow 0 \\
& \downarrow & & \downarrow & & \parallel & \\
0 \longrightarrow & \sigma^* \mathcal{O}_X(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & (\sigma, 1)^* \mathcal{E}_{L,2}|_{X \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* & \longrightarrow 0, \\
& \downarrow & & \downarrow & & & \\
\mathcal{O}_{E'} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & = & \mathcal{O}_{E'} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & & & & \\
& \downarrow & & \downarrow & & & \\
0 & & 0 & & & & 
\end{array}$$

where  $\mathcal{A} = \sigma^* \mathcal{O}_X(1) \otimes \mathcal{O}_{\tilde{X}}(-E')$ . If we restrict the first row to  $E' \times C$ , we obtain a short exact sequence

$$0 \longrightarrow \mathcal{O}_{E'}(1) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,3}|_{E' \times C} \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0.$$

Remember that  $E'$  is  $\mathbb{P}(\mathcal{N}_{\{\tilde{p}_i\}/E_2|_{p_i}})$ . The following diagram, where, to simplify the notation, we denoted  $\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$  by  $V$ , illustrates the steps we took in finding  $\mathcal{E}_{L,3}|_{E' \times C}$ :

$$\begin{array}{ccccccc}
\mathcal{E}_{L,2}|_{X \times C} & \longleftrightarrow & \sum_{j=2}^n w_j^* \otimes v_j & \in & H^0(X, \mathcal{O}_X(1)) \otimes V & & \\
& & & & & \downarrow & \\
(\sigma, 1)^* \mathcal{E}_{L,2}|_{X \times C} & \longleftrightarrow & \sum_{j=2}^n w_j^* \otimes v_j & \in & H^0(\tilde{X}, \sigma^* \mathcal{O}_X(1)) \otimes V & & \\
& & & & & \uparrow & \\
\mathcal{E}_{L,3}|_{\tilde{X} \times C} & \longleftrightarrow & \sum_{j=2}^n w_j^* \otimes v_j & \in & H^0(\tilde{X}, \mathcal{A}) \otimes V & & \\
& & & & & \downarrow & \\
\mathcal{E}_{L,3}|_{E' \times C} & \longleftrightarrow & \sum_{j=2}^n v_j^* \otimes v_j & \in & H^0(E', \mathcal{O}_{E'}(1)) \otimes V & & 
\end{array}$$

Therefore,  $\mathcal{E}_{L,3}|_{E' \times C}$  corresponds to the inclusion  $\text{Im } \psi_{L_p} \hookrightarrow \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ , as claimed.  $\square$

Let  $p$  be a node of  $C$ , let  $l \in L_p$ ,  $l \neq p_1, p_2$ , and let  $Y_l := s_{E_l}(L_p)$ , where  $s_{E_l}$  is the section of  $E_{2,p} \rightarrow L_p$  defined in Section 7. Remember that we denoted by  $y_{l,i}$  the only point of intersection of the strict transform  $\tilde{Y}_l$  of  $Y_l$  with  $E_3|_{\tilde{p}_i}$  ( $i = 1, 2$ ).

**Proposition 9.4.** *The restriction of  $\mathcal{E}_{L,3}$  to  $\tilde{Y}_l \times C$  is a non-zero element in the vector space  $\text{Ext}_{\tilde{Y}_l \times C}^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}) \simeq H^0(\tilde{Y}_l, \mathcal{O}_{\tilde{Y}_l}) \otimes \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p})$ . In particular  $\mathcal{E}_{L,3}|_{\{y_{l,i}\} \times C} \simeq E_l$  for  $i = 1, 2$ .*

For the proof, we need the following result.

**Lemma 9.5.** *The restriction of  $\mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)$  to  $L_{2,p} \simeq \mathbb{P}^1$  is  $\mathcal{O}_{L_{2,p}}(1)$ .*

*Proof.* Remember that  $L_{2,p} = \widetilde{T_p C} \cap E_2$ . It is isomorphic to  $L_p = \widetilde{T_p C} \cap E_1$  via  $\varepsilon_2$ , and it is therefore the exceptional divisor of the blow-up of  $T_p C$  at  $p$ . Therefore,

$$\mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)|_{L_{2,p}} = (\mathcal{O}_{\mathbb{P}_{L,2}}(-E_2)|_{\widetilde{T_p C}})|_{L_{2,p}} = \mathcal{O}_{\widetilde{T_p C}}(-L_{2,p})|_{L_{2,p}} = \mathcal{O}_{L_{2,p}}(1).$$

□

*Proof (of Proposition 9.4).* We saw in Lemma 6.1 that there exists a short exact sequence  $0 \rightarrow (\varepsilon_2^* \mathcal{O}_{L_p}(1) \otimes \mathcal{O}_{E_2}(-E_2)) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \rightarrow \mathcal{E}_{L,2}|_{E_2 \times C} \rightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow 0$  on  $E_2 \times C$ . If we restrict it to  $Y_l \times C$ , we obtain the short exact sequence

$$0 \longrightarrow \mathcal{O}_{Y_l}(2) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow \mathcal{E}_{L,2}|_{Y_l \times C} \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0,$$

because  $\mathcal{O}_{E_2}(-E_2)|_{Y_l} = \mathcal{O}_{Y_l}(1)$  since  $Y_l$  and  $L_2$  are in the same linear system. Therefore, there exists the following commutative diagram on  $\widetilde{Y}_l \times C \simeq Y_l \times C$ :

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 \longrightarrow & \mathcal{O}_{\widetilde{Y}_l \times C} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}_{L,3}|_{\widetilde{Y}_l \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* & \longrightarrow 0 \\ & \downarrow & & \downarrow & & \parallel & \\ 0 \longrightarrow & \mathcal{O}_{Y_l \times C}(2) \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & \longrightarrow & \mathcal{E}_{L,2}|_{Y_l \times C} & \longrightarrow & L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* & \longrightarrow 0, \\ & \downarrow & & \downarrow & & & \\ \mathcal{O}_{\{\widetilde{p}_1, \widetilde{p}_2\}} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & = & \mathcal{O}_{\{\widetilde{p}_1, \widetilde{p}_2\}} \boxtimes (\pi_p)_* \mathcal{O}_{C_p} & & & & \\ & \downarrow & & \downarrow & & & \\ 0 & & 0 & & & & \end{array}$$

and  $\mathcal{E}_{L,3}|_{\widetilde{Y}_l \times C}$  is an element of  $\text{Ext}_{\widetilde{Y}_l \times C}^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p}), (\pi_p)_* \mathcal{O}_{C_p})$ . Since this is isomorphic to  $H^0(\widetilde{Y}_l, \mathcal{O}_{\widetilde{Y}_l}) \otimes \text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p}), (\pi_p)_* \mathcal{O}_{C_p})$  (see [Arc04]),  $\mathcal{E}_{L,3}|_{\widetilde{Y}_l \times C}$  is non-zero because we know that  $\mathcal{E}_{L,3}|_{\{y\} \times C}$  does not split for every  $y \in \widetilde{Y}_l$ ,  $y \neq y_{l,1}, y_{l,2}$ . □

## 10. FIBERS OF $\phi_{L,3}$

We prove in this section that the fibers of  $\phi_{L,3}$  are connected. Let us start with characterizing the image.

**Lemma 10.1.** *An element  $E \in \overline{\mathcal{SU}_C(2, L)}$  is in the image of  $\phi_{L,3}$  if and only if  $H^0(E) \neq 0$ .*

*Proof.* By our description of  $\phi_{L,3}$  it is clear that if  $E$  is in its image, then there exists a non-zero map  $\mathcal{O}_C \rightarrow E$ , and therefore  $E$  has a non-zero section. Conversely, if  $H^0(E) \neq 0$ , there exists a non-zero section  $\mathcal{O}_C \xrightarrow{s} E$ . If  $E$  is stable, then  $s$  can vanish at at most one point, and therefore  $E$  fits in at least one of the following exact sequences:

- $0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow L \rightarrow 0$ ,
- $0 \rightarrow \mathcal{O}_C(q) \rightarrow E \rightarrow L(-q) \rightarrow 0$  for some smooth point  $q \in C$ ,
- $0 \rightarrow (\pi_p)_* \mathcal{O}_{C_p} \rightarrow E \rightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow 0$  for some node  $p \in C$ ,

and it is therefore in the image of  $\phi_{L,3}$ . This proves the lemma, since  $\phi_{L,3}$  is proper and the locus of stable bundles is dense in  $\{E \in \overline{\mathcal{SU}_C(2, L)} \mid H^0(E) \neq 0\}$ . □

As in the smooth case, we have the following result.

**Proposition 10.2.** *For every stable  $E \in \overline{\mathcal{SU}_C(2, L)}$  there exists a morphism*

$$\psi_E: \mathbb{P}(H^0(E)) \longrightarrow \mathbb{P}_L$$

*such that, for every  $x \in \mathbb{P}_L \setminus C$ ,*

$$\phi_L(x) = E \iff x \in \text{Im}(\psi_E).$$

*Proof.* For every  $s \in H^0(E)$ , define  $\psi_E([s]) := \mathbb{P}(\ker(\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, E)))$ . To prove that  $\psi_E$  is a morphism, it suffices to show that, for every  $s \in H^0(E)$ , the kernel in the definition of  $\psi_E([s])$  is one-dimensional. Note that, since  $E$  is stable of degree  $\leq 4$ , every torsion-free subsheaf of  $E$  of rank 1 has degree  $\leq 1$ , and therefore  $s$  can vanish at at most one point.

Case I:  $s$  is no-where vanishing. There exists a short exact sequence  $0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow L \rightarrow 0$ , and applying to it the functor  $\text{Hom}_C(L, -)$  we obtain

$$0 \longrightarrow \text{Hom}_C(L, L) \longrightarrow \text{Ext}_C^1(L, \mathcal{O}_C) \longrightarrow \text{Ext}_C^1(L, E) \longrightarrow \dots,$$

where the sequence starts with  $\text{Hom}_C(L, E)$ , which is zero because  $E$  is stable. This proves that the kernel of  $\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, E)$  is isomorphic to  $\text{Hom}_C(L, L)$ , and it is therefore one-dimensional. Moreover, the image of the identity element of  $\text{Hom}_C(L, L)$  in  $\text{Ext}_C^1(L, \mathcal{O}_C)$  is the extension associated to  $\mathcal{O}_C \xrightarrow{s} E$ , and therefore  $\phi_L(\psi_E([s])) = E$ .

Case II:  $s$  vanishes at exactly one point. Let  $F$  be  $\mathcal{O}_C(q)$  if  $s$  vanishes at a smooth point  $q \in C$  or let  $F$  be  $(\pi_p)_*\mathcal{O}_{C_p}$  if  $s$  vanishes at a node  $p$  of  $C$ . There exists a short exact sequence

$$(6) \quad 0 \longrightarrow F \longrightarrow E \longrightarrow L \otimes F^* \longrightarrow 0,$$

and  $\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, E)$  factors through  $\text{Ext}_C^1(L, F) \rightarrow \text{Ext}_C^1(L, E)$ . Since the kernel of  $\text{Ext}_C^1(L, \mathcal{O}_C) \rightarrow \text{Ext}_C^1(L, F)$  is one-dimensional, to conclude the proof it suffices to show that  $\text{Ext}_C^1(L, F) \rightarrow \text{Ext}_C^1(L, E)$  is injective. Applying the functor  $\text{Hom}_C(L, -)$  to (6), we see that this is the case, because  $\text{Hom}_C(L, L \otimes F^*) = 0$ .  $\square$

In what follows, we also need the following result, which is similar to the proposition above.

**Lemma 10.3.** *Let  $E \in \overline{\mathcal{SU}_C(2, L)}$  be stable.*

(a) *If every section  $s \in H^0(E)$  vanishes at a smooth point  $q \in C$ , then there exists a morphism*

$$\psi_{E,q}: \mathbb{P}(H^0(E)) \longrightarrow E_3|_q \simeq \mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)))$$

*such that*

$$\phi_{L,3}^{-1}(E) = \text{Im } \phi_{E,q}.$$

(b) *If every section  $s \in H^0(E)$  vanishes at a node  $p \in C$ , then there exists a morphism*

$$\psi_{E,p}: \mathbb{P}(H^0(E)) \longrightarrow \mathbb{P}(H'_p) \subseteq \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p}))$$

*such that  $x$  is in the image of  $\psi_{E,p}$  if and only if  $x$  maps to  $E$  under the natural forgetful map  $\mathbb{P}(H'_p) \rightarrow \overline{\mathcal{SU}_C(2, L)}$ , which is a morphism by Lemma 3.4.*

*Proof.* Define  $\psi_{E,q}([s]) := \mathbb{P}(\ker(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q)) \rightarrow \text{Ext}_C^1(L(-q), E)))$  in part (a), and  $\psi_{E,p}([s]) := \mathbb{P}(\ker(\text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, (\pi_p)_*\mathcal{O}_{C_p}) \rightarrow \text{Ext}_C^1(L \otimes ((\pi_p)_*\mathcal{O}_{C_p})^*, E)))$  in part (b). If we let  $F = \mathcal{O}_C(q)$  for part (a) and  $F = (\pi_p)_*\mathcal{O}_{C_p}$  for part (b), the proof is the same as the proof of Case I in Proposition 10.2 where we now use the unique extension  $0 \rightarrow F \rightarrow E \rightarrow L \otimes F^* \rightarrow 0$  associated to  $s$ .  $\square$

To simplify the notation, for the rest of this section, we shall say that an element  $E \in \overline{\mathcal{SU}_C(2, L)}$  is of type Q if there exists a non-zero map  $\mathcal{O}_C(q) \rightarrow E$  for some smooth point  $q \in C$ , and is of type P if there exists a non-zero map  $(\pi_p)_*\mathcal{O}_{C_p} \rightarrow E$  for some node  $p \in C$ .

**Proposition 10.4.** *The fibers of  $\phi_{L,3}$  are connected.*

We shall divide the proof of this proposition into several lemmas analyzing various different cases.

**Lemma 10.5.** *If  $g > \deg L$ , and  $E \in \text{Im } \phi_{L,3}$  is not of type P, then  $\phi_{L,3}^{-1}(E)$  is just a point.*

*Proof.* Since  $E$  is not of type P, there are two possibilities:

Case I: There exists an  $x \in \mathbb{P}_L \setminus C$  such that  $\phi_L(x) = E$ . Then there exists a short exact sequence  $0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow L \rightarrow 0$ , and  $h^0(E) = h^0(\mathcal{O}_C) = 1$ , since  $H^0(L) = 0$  because  $g > \deg L$  and  $L$  is generic. This proves that there is only one way to write  $E$  as an extension of  $L$  by  $\mathcal{O}_C$ , and  $\mathcal{O}_C$  must be a maximal subbundle of  $E$  (i.e.,  $E$  is not in the image of the natural morphisms  $\mathbb{P}(\text{Ext}_C^1(L(-q), \mathcal{O}_C(q))) \rightarrow \overline{\mathcal{SU}_C(2, L)}$  and  $\mathbb{P}(H'_p) \rightarrow \overline{\mathcal{SU}_C(2, L)}$ ). Therefore  $\phi_{L,3}^{-1}(E) = \{pt\}$ .

Case II: There exists a smooth point  $q \in C$  and an  $x \in E_3|_q$  such that  $\phi_{L,3}(x) = E$ . Then there exists a short exact sequence  $0 \rightarrow \mathcal{O}_C(q) \rightarrow E \rightarrow L(-q) \rightarrow 0$ , and again  $h^0(E) = h^0(\mathcal{O}_C(q)) = 1$  and  $\phi_{L,3}^{-1}(E) = \{pt\}$ .  $\square$

**Lemma 10.6.** *If  $g > \deg L$ , and  $E \in \text{Im } \phi_{L,3}$  is a non-locally-free sheaf of type P, then  $\phi_{L,3}^{-1}(E)$  is the union of (the strict transforms of) a plane and two lines intersecting the plane.*

*Proof.* If  $E$  is of type P, then  $E$  is in the image of a point of  $\widetilde{E}_1$ ,  $\widetilde{E}_2$ , or  $E_3|_{\widetilde{p}_i}$  for some node  $p$  of  $C$  and  $i \in \{1, 2\}$ . There exists a short exact sequence  $0 \rightarrow (\pi_p)_* \mathcal{O}_{C_p} \rightarrow E \rightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \rightarrow 0$ . Since  $L$  is generic,  $H^0(L) = 0$ , and this implies that  $H^0(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*) = 0$ . Then  $h^0(E) = h^0((\pi_p)_* \mathcal{O}_{C_p}) = 1$ , and there is only one way to write  $E$  as such an extension (and  $E$  cannot be written as an extension of  $L$  by  $\mathcal{O}_C$  or  $L(-q)$  by  $\mathcal{O}_C(q)$  for some smooth  $q \in C$  or  $L \otimes ((\pi_{p'})_* \mathcal{O}_{C_{p'}})^*$ ) by  $(\pi_{p'})_* \mathcal{O}_{C_{p'}}$  for some other node  $p'$ .

Since  $E$  is not locally-free, it is in the image of  $\psi_{L,p}$ , and by Theorem 3.1  $\phi_{L,1}^{-1}(E)$  is a plane in the projective space  $E_1|_p$  containing the line  $L_p$  (except for the line itself, where  $\phi_{L,1}$  is not defined). Then, by Theorem 5.1,  $\phi_{L,2}^{-1}(E)$  is the union of the strict transform  $\widetilde{\phi_{L,1}^{-1}(E)}$  of the plane  $\overline{\phi_{L,1}^{-1}(E)}$  and two lines which are contained in  $E_2|_{p_1}$  and  $E_2|_{p_2}$ , respectively (except for the points  $\widetilde{p}_1$  and  $\widetilde{p}_2$ , which are on the lines, where  $\phi_{L,2}$  is not defined). The lines intersect the plane at the points  $\widetilde{\phi_{L,1}^{-1}(E)} \cap E_2|_{p_1}$  and  $\widetilde{\phi_{L,1}^{-1}(E)} \cap E_2|_{p_2}$ , respectively. The last blow-up just adds the two missing points, and  $\phi_{L,3}^{-1}(E)$  is the union of a plane and two lines which intersect it, as claimed.  $\square$

**Lemma 10.7.** *If  $g > \deg L$ , and  $E \in \text{Im } \phi_{L,3}$  is a locally-free sheaf of type P, then  $\phi_{L,3}^{-1}(E)$  is (the strict transform of) a line.*

*Proof.* The proof of this lemma follows exactly the proof of the previous lemma up to the description of  $\phi_{L,1}^{-1}(E)$ . In our case now, since  $E$  is locally-free,  $\phi_{L,1}^{-1}(E)$  is empty. Then, by Theorem 5.1,  $\phi_{L,2}^{-1}(E)$  is a section of  $E_{2,p} \rightarrow L_p$  which passes through the points  $\widetilde{p}_1$  and  $\widetilde{p}_2$ , where  $\phi_{L,2}$  is not defined. The last blow-up just adds the two missing points, and therefore  $\phi_{L,3}^{-1}(E)$  is isomorphic to the line  $L_p$ , as claimed.  $\square$

The previous lemmas prove that the fibers of  $\phi_{L,3}$  are connected if  $g > \deg L$ . To prove that the fibers are always connected, we need to still study the cases of  $g = 2$ ,  $g = 3$  and the case  $g = \deg L = 4$ . We shall now prove the case  $g = 2$  and  $\deg L = 3$  (since it is the case for which we have an application), and leave the other cases as an exercise for the reader.

**Lemma 10.8.** *If  $g = 2$  and  $\deg L = 3$ , then the fibers of  $\phi_{L,3}$  are connected.*

*Proof.* For every  $E \in \overline{\mathcal{SU}_C(2, L)}$ ,  $E$  is stable, and  $H^0(E) \neq 0$  because  $\chi(E) = 1$ . Therefore,  $\phi_{L,3}$  is surjective by Lemma 10.1, and it is a birational morphism. If  $h^0(E) = 1$ , then the fiber  $\phi_{L,3}^{-1}(E)$  is the same as the fibers described in Lemmas 10.5, 10.6, and 10.7 above. Suppose that  $h^0(E) \geq 2$ . Since  $E$  is stable, every section  $s \in H^0(E)$  satisfies  $\deg(Z(s)) \leq 1$ . Moreover, we have the morphism  $\psi_E: \mathbb{P}(H^0(E)) \rightarrow \mathbb{P}_L$  described in Proposition 10.2, and we saw that  $\phi_L^{-1}(E) = \text{Im } \psi_E \setminus C$ .

Case I:  $\text{Im } \psi_E \not\subseteq C$ . If  $\text{Im } \psi_E$  does not intersect  $C$ , then  $\phi_{L,3}^{-1}(E) = \widetilde{\text{Im } \psi_E}$  is connected. If  $\text{Im } \psi_E$  intersects  $C$  at a smooth point  $q$ , then there exists a unique section  $s \in H^0(E)$  such that  $Z(s) = \{q\}$ , and  $E$  can be written as an extension of  $L(-q)$  by  $\mathcal{O}_C(q)$ . By continuity, this extension must be the point in  $E_3|_q \simeq \mathbb{P}(\text{Ext}_C^1(\mathcal{O}_C(q), L(-q)))$  in the strict transform of the closure of  $\text{Im } \psi_E$ . Similarly, if  $\text{Im } \psi_E$  intersects  $C$  at a node  $p$ , then  $E$  can be written as an extension of  $L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*$  by  $(\pi_p)_* \mathcal{O}_{C_p}$  in a unique way. Since  $\text{Im } \psi_E \not\subseteq C$ ,  $E$  is locally-free. Therefore,  $E$  is not in the image of  $E_1$ , and its preimage in  $\widetilde{E}_2$  is a line, which, by continuity, must intersect the strict transform of the closure of  $\text{Im } \psi_E$ .

Case II:  $\text{Im } \psi_E \subseteq C$ . In this case,  $\text{Im } \psi_E$  must be just a point. Indeed,  $\psi_E(s) = x \in C$  if and only if  $Z(s) = \{x\}$ , and if  $\text{Im } \psi_E = C$ , then there would exist two distinct sections in  $H^0(E)$  mapping to each node of  $C$ . If  $\text{Im } \psi_E$  is a smooth point  $q$  of  $C$ , then every section of  $E$  vanishes at  $q$ , and by Lemma 10.3  $\phi_{L,3}^{-1}(E) = \text{Im } \psi_{E,q}$  is connected. If  $\text{Im } \psi_E$  is a node  $p$  of  $C$ , then every section of  $E$  vanishes at  $p$ , and by Lemma 10.3 there exists a morphism

$$\psi_{E,p}: \mathbb{P}(H^0(E)) \longrightarrow \mathbb{P}(H'_p) \subseteq \mathbb{P}(\text{Ext}_C^1(L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^*, (\pi_p)_* \mathcal{O}_{C_p}))$$

such that  $x$  is in the image of  $\psi_{E,p}$  if and only if  $x$  maps to  $E$  under the natural forgetful morphism  $\mathbb{P}(H'_p) \rightarrow \overline{\mathcal{SU}_C(2, L)}$ . For each point  $x$  of  $\mathbb{P}(H'_p)$ , the space of all points in  $\widetilde{E}_{2,p} \cup E_3|_{\tilde{p}_1} \cup E_3|_{\tilde{p}_2}$  which map to  $x$  under the maps to  $\mathbb{P}(H'_p)$  of Theorems 5.1 and 7.1 is connected by Lemmas 10.6 and 10.7. Since the image of  $\psi_{E,p}$  in  $\mathbb{P}(H'_p)$  is connected, this proves that  $\phi_{L,3}^{-1}(E)$  is also connected.  $\square$

*Remark.* The proof shows that  $\phi_{L,3}^{-1}(E)$  is connected for every stable  $E$  in the image of  $\phi_{L,3}$ .

## 11. THE CASE $\deg L > 4$

If  $\deg L > 4$ , the rational map  $\mathbb{P}_{L,3} \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is not a morphism, but we can still prove the following.

**Proposition 11.1.** *Let  $\deg L = 2g - 1$ , and let*

$$V = \{E \in \overline{\mathcal{SU}_C(2, L)} \mid H^0(E) \simeq \mathbb{C} \cdot s \text{ and } Z(s) = \emptyset \text{ or } \{q\}, \text{ with } q \text{ a smooth point of } C\}.$$

*Then the codimension of  $\overline{\mathcal{SU}_C(2, L)} \setminus V$  in  $\overline{\mathcal{SU}_C(2, L)}$  is  $\geq 2$ , and there exists an open subset  $U$  of  $\mathbb{P}_{L,3}$  such that  $\phi_{L,3}|_U: U \rightarrow V$  is an isomorphism.*

*Proof.* Let  $E \in V$ . If  $Z(s) = \emptyset$  [resp.  $Z(s) = \{q\}$ ], then  $E$  is an extension of  $L$  by  $\mathcal{O}_C$  [resp. of  $L(-q)$  by  $\mathcal{O}_C(q)$ ], and by Lemma 10.2 [resp. 10.3] there exists a unique point  $x$  of  $\mathbb{P}_L$  [resp.  $\mathbb{P}_{L,3}$ ] such that  $\phi_L(x) = E$  [resp.  $\phi_{L,3}(x) = E$ ]. No other point of  $\mathbb{P}_{L,3}$  can map to  $E$ , and therefore  $E$  has a unique preimage under  $\phi_{L,3}$ .

To prove the claim about the codimension of  $\overline{\mathcal{SU}_C(2, L)} \setminus V$  in  $\overline{\mathcal{SU}_C(2, L)}$ , let us first study  $U$ . If we identify  $\mathbb{P}_L \setminus C$  with its isomorphic image in  $\mathbb{P}_{L,3}$ , then

$$U \cap (\mathbb{P}_L \setminus C) = \{E \in \mathbb{P}_L \mid E \text{ is semi-stable and } h^0(E) = 1\}.$$

As in the smooth case, let

$$\Gamma_L := \{E \in \mathbb{P}_L \mid h^0(E) > 1\}.$$

It is a hypersurface of degree  $g$  in  $\mathbb{P}_L$  (see [Ber92]). Then

$$\mathbb{P}_L = (U \cap (\mathbb{P}_L \setminus C)) \cup \Gamma_L \cup B,$$

where  $B$  is the base locus of  $\phi_L$ , which has codimension  $\geq 2$  in  $\mathbb{P}_L$ . Moreover,  $U$  does not intersect the exceptional divisors  $E_1$  and  $E_2$ , and  $U \cap E_3$  is a dense open subset of  $E_3$ . Therefore, the complement of  $U$  in  $\mathbb{P}_{L,3}$  is the union of  $\tilde{\Gamma}_L$ ,  $E_1$ ,  $E_2$ , and a locus of codimension  $\geq 2$  in  $\mathbb{P}_{L,3}$ . Since the map  $\phi_{L,3}$  restricted to  $\tilde{\Gamma}_L \cup E_1 \cup E_2$  has positive dimensional fibers, the image of  $\tilde{\Gamma}_L \cup E_1 \cup E_2$  in  $\overline{\mathcal{SU}_C(2, L)}$  has codimension 2, and therefore  $\text{codim}(\overline{\mathcal{SU}_C(2, L)} \setminus V, \overline{\mathcal{SU}_C(2, L)}) \geq 2$ .  $\square$

## 12. APPLICATIONS

Before we give direct applications of our construction, let us point out how the rational map  $\phi_L$  can be used to describe  $\overline{\mathcal{SU}_C(2, L)}$  on an irreducible nodal curve of genus 1. In this case, the normalization  $N$  of  $C$  is isomorphic to  $\mathbb{P}^1$ , and  $C$  has only one node.

**Proposition 12.1.** *Let  $C$  be an irreducible projective curve of arithmetic genus 1 with one node  $p$  as singularity.*

(1) *Let  $L$  be any line bundle of degree 1. Then*

$$\phi_L: \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C)) \longrightarrow \overline{\mathcal{SU}_C(2, L)} \subseteq \overline{\mathcal{SU}_C(2, L)}$$

*is an isomorphism, and therefore  $\overline{\mathcal{SU}_C(2, L)} = \mathcal{SU}_C(2, L) \simeq \mathbb{P}^0$  as in the smooth case (see [Tu93]).*

(2) *Let  $L$  be any line bundle of degree 2. Then*

$$\phi_L: \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C)) \longrightarrow \overline{\mathcal{SU}_C(2, L)} \subseteq \overline{\mathcal{SU}_C(2, L)}$$

*is an isomorphism, and therefore  $\overline{\mathcal{SU}_C(2, L)} = \mathcal{SU}_C(2, L) \simeq \mathbb{P}^1$  as in the smooth case (see [Tu93]).*

*Proof.* The map  $\phi_L$  is a morphism by Proposition 2.1. Note that every  $E \in \overline{\mathcal{SU}_C(2, L)}$  has at least one section because  $h^0(E) \geq \chi(E) = \deg L \geq 1$ .

If  $\deg L = 1$ , since every element of  $\overline{\mathcal{SU}_C(2, L)}$  is stable, the sections of  $E$  cannot vanish at any point, therefore  $E$  is an extension of  $L$  by  $\mathcal{O}_C$ , and  $\phi_L$  is surjective.

If  $\deg L = 2$ , then  $h^0(L) = 2$ . We have that  $\text{Ext}_C^1(L, \mathcal{O}_C) \simeq H^0(L)^*$ , and therefore  $\mathbb{P}_L \simeq |L|^*$ , which, being a  $\mathbb{P}^1$ , is canonically equal to  $|L|$ . The morphism  $\phi_L$  is defined by  $\phi_L(q_1 + q_2) = \mathcal{O}_C(q_1) \oplus \mathcal{O}_C(q_2)$  for  $q_1 + q_2 \in |L| \simeq \mathbb{P}(\text{Ext}_C^1(L, \mathcal{O}_C))$ . It is clearly injective. To prove that  $\phi_L$  is surjective, note that, if  $E \in \overline{\mathcal{SU}_C(2, L)}$  is not stable, then it must be S-equivalent to  $\mathcal{O}_C(q_1) \oplus \mathcal{O}_C(q_2)$  with  $q_1 + q_2 \in |L|$ , and if it is stable, then the sections of  $E$  cannot vanish at any point, and  $E$  is in the image of  $\phi_L$ .  $\square$

From the direct description of  $\phi_L$  in the proof, we deduce the following fact, which is also true in the smooth case (see [Tu93]).

**Corollary 12.2.** *If  $C$  is an irreducible projective curve of arithmetic genus 1 with one node  $p$  as singularity, and  $L$  is a line bundle of even degree, then every vector bundle  $E \in \mathcal{SU}_C(2, L)$  is semi-stable but not stable.*

For an irreducible nodal curve of genus 2, we have the following application as a corollary of Proposition 10.4. This fact is already known (see [BhoNew90]).

**Corollary 12.3.** *If  $g = 2$  and  $\deg L$  is odd, the normalization morphism  $\overline{\mathcal{SU}_C(2, L)}^\nu \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is one-to-one.*

*Proof.* This follows from the fact that  $\phi_{L,3}$  is a birational morphism with connected fibers for  $g = 2$  and  $\deg L = 3$ .  $\square$

*Remark.* If  $g = 2$  and  $\deg L = 4$ , then  $\phi_L: \mathbb{P}_L \rightarrow \overline{\mathcal{SU}_C(2, L)}$  is a rational map defined by sections of  $|\mathcal{I}_C(2)|$ . It should be possible to prove that  $h^0(\mathcal{I}_C(2)) = 4$ , and obtain as a corollary the known fact that, if  $\deg L$  is even,  $\overline{\mathcal{SU}_C(2, L)} \simeq \mathbb{P}^3$  for an irreducible nodal curve of genus 2 (see [Bho98]). We can prove that  $h^0(\mathcal{I}_C(2))$  is indeed 4 for a generic such curve with one node and for a generic such curve with two nodes.

For curves of genus  $\geq 2$ , as a corollary of Proposition 11.1, we can prove the following results.

**Corollary 12.4.** *If  $\deg L$  is odd, then  $A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \simeq \mathbb{Z}$ .*

*Proof.* Let  $\deg L = 2g - 1$ . The isomorphism  $\phi_{L,3}|_U: U \rightarrow V$  of Proposition 11.1 induces an isomorphism  $(\phi_{L,3}|_U)_*: A_{3g-4}(U) \rightarrow A_{3g-4}(V)$ , and  $A_{3g-4}(V) \simeq A_{3g-4}(\overline{\mathcal{SU}_C(2, L)})$  because the complement of  $V$  has codimension  $\geq 2$  in  $\overline{\mathcal{SU}_C(2, L)}$ . Recall that  $U$  is an open subset of  $\mathbb{P}_{L,3}$  whose complement has codimension one, and therefore there exists an exact sequence (see [Ful84, 1.8])

$$A_{3g-4}(\mathbb{P}_{L,3} \setminus U) \longrightarrow A_{3g-4}(\mathbb{P}_{L,3}) \longrightarrow A_{3g-4}(U) \longrightarrow 0,$$

where

$$A_{3g-4}(\mathbb{P}_{L,3}) \simeq \mathbb{Z}H \oplus_{p \in J} \mathbb{Z}\tilde{E}_1|_p \oplus_{p \in J} \mathbb{Z}\tilde{E}_{2,p} \oplus \mathbb{Z}E_3,$$

with  $H$  the pull-back of a hyperplane class from  $\mathbb{P}_L$ . It follows from our description of  $U$  in the proof of Proposition 11.1 that

$$A_{3g-4}(\mathbb{P}_{L,3} \setminus U) \simeq \mathbb{Z}\tilde{\Gamma}_L \oplus_{p \in J} \mathbb{Z}\tilde{E}_1|_p \oplus_{p \in J} \mathbb{Z}\tilde{E}_{2,p},$$

and therefore

$$A_{3g-4}(U) \simeq \frac{\mathbb{Z}H \oplus \mathbb{Z}E_3}{\mathbb{Z}\tilde{\Gamma}_L}.$$

Since, as in the smooth case,  $\tilde{\Gamma}_L \sim gH - (g-1)E_3$ , we obtain

$$A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \simeq \frac{\mathbb{Z}H \oplus \mathbb{Z}E_3}{\mathbb{Z}(gH - (g-1)E_3)} \simeq \mathbb{Z}.$$

$\square$

We now study the complement of  $\mathcal{SU}_C(2, L)$  in  $\overline{\mathcal{SU}_C(2, L)}$ .

**Proposition 12.5.** *For every irreducible nodal curve  $C$  of genus  $\geq 2$ ,*

$$\text{codim}(\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L), \overline{\mathcal{SU}_C(2, L)}) \geq 3.$$

*Proof.* It suffices to prove this in the case when  $\deg L = d \gg 0$ . The generic element of  $\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L)$  is a torsion-free non-locally-free sheaf such that every section vanishes at a node. Therefore, it is a non-locally-free extension of the form

$$0 \longrightarrow (\pi_p)_* \mathcal{O}_{C_p} \longrightarrow E \longrightarrow L \otimes ((\pi_p)_* \mathcal{O}_{C_p})^* \longrightarrow 0$$

for some node  $p$  in  $C$ , i.e., the push-forward of an extension

$$0 \longrightarrow \mathcal{O}_{C_p} \longrightarrow \mathcal{E} \longrightarrow \pi_p^* L(-p_1 - p_2) \longrightarrow 0$$

via the partial normalization  $\pi_p: C_p \rightarrow C$ . A generic such extension  $\mathcal{E}$  is in  $\mathcal{SU}_{C_p}(2, \pi_p^* L(-p_1 - p_2))$ , and there exists a morphism

$$\overline{\mathcal{SU}_{C_p}(2, \pi_p^* L(-p_1 - p_2))} \xrightarrow{(\pi_p)_*} \overline{\mathcal{SU}_C(2, L)}$$

defined by  $\mathcal{E} \mapsto (\pi_p)_*\mathcal{E}$ . It is a morphism because if  $F \subseteq (\pi_p)_*\mathcal{E}$  is a rank-1 torsion-free subsheaf of  $(\pi_p)_*\mathcal{E}$ , then  $\pi^*F/\text{Tors} \subseteq \mathcal{E}$ . Since  $\mathcal{E}$  is semi-stable, the degree of  $\pi^*F/\text{Tors}$  is  $\leq \deg \mathcal{E}/2 = (d-2)/2$ . Therefore  $\deg F \leq (d-2)/2 + 1 = d/2$ , and  $(\pi_p)_*\mathcal{E}$  is semi-stable.

The dimension of  $\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L)$  is therefore less than or equal to the dimension of  $\mathcal{SU}_{C_p}(2, \pi_p^*L(-p_1 - p_2))$ , which is  $3g - 6$  unless  $g = 2$  and  $\deg L$  is even when it is  $1 = 3g - 5$ . But if  $g = 2$  and  $\deg L$  is even then  $\overline{\mathcal{SU}_C(2, L)} = \mathcal{SU}_C(2, L) \simeq \mathbb{P}^3$  (see [Bho98]). Therefore,

$$\text{codim}(\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L), \overline{\mathcal{SU}_C(2, L)}) \geq (3g - 3) - (3g - 6) = 3.$$

□

*Remark.* The proof of the proposition shows that, except for the case  $g = 2$  and  $\deg L$  even,  $\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L)$  is the union of  $|J|$  varieties of dimension  $3g - 6$ , the images of the morphisms  $(\pi_p)_*$  described above, one for each node.

An immediate consequence of Corollary 12.4 and Proposition 12.5 is the following result, which was already proved by Bhosle (see [Bho99] and [Bho04]).

**Corollary 12.6.** *If  $C$  is an irreducible nodal curve of genus  $\geq 2$  and  $\deg L$  is odd, then*

$$A_{3g-4}(\mathcal{SU}_C(2, L)) \simeq \mathbb{Z}.$$

Our last application is the following corollary.

**Corollary 12.7.** *If  $C$  is an irreducible nodal curve of genus  $\geq 2$ , then  $A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \simeq \mathbb{Z}$ .*

*Proof.* Bhosle proved in [Bho99] and [Bho04] that  $A_{3g-4}(\mathcal{SU}_C(2, L)) \simeq \mathbb{Z}$ . The result follows from the exact sequence (see [Ful84, 1.8])

$$A_{3g-4}(\overline{\mathcal{SU}_C(2, L)} \setminus \mathcal{SU}_C(2, L)) \longrightarrow A_{3g-4}(\overline{\mathcal{SU}_C(2, L)}) \longrightarrow A_{3g-4}(\mathcal{SU}_C(2, L)) \longrightarrow 0$$

and Proposition 12.5. □

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